

jc931 U.S. PTO  
09/15/00

Please type a plus sign (+) inside this box →  +

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 2057-PA

First Inventor or Application Identifier Scott Williams

Title Custom Rule System And Method For Expert Systems

Express Mail Label No. EE847951204US

907160325  
09/15/00

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 69]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
4. Oath or Declaration [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \* Small Entity Statement(s)  Statement filed in prior application,  
(PTO/SB/09-12)  Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: /

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	
Name	Dennis A. DeBoo DeBoo & Company					
Address	400 CAPITOL MALL, SUITE 900					
City	SACRAMENTO	State	CA	Zip Code	95814	
Country	USA	Telephone	(916) 449-3983		Fax	(916) 449-3984

Name (Print/Type)	Dennis A. DeBoo	Registration No. (Attorney/Agent)	42,471
Signature	Dennis A. DeBoo	Date	9/15/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments *must* be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,236.00)

## Complete if Known

Application Number	
Filing Date	9/15/00
First Named Inventor	Williams, Scott
Examiner Name	
Group / Art Unit	
Attorney Docket No.	2057-pa

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0939

Deposit Account Name Dennis A. DeBoo

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

 Check     Money Order     Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1,360	Extension for reply within fourth month	
128	1,850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,210	Petition to revive - unintentional	
142	1,210	Utility issue fee (or reissue)	
143	430	Design issue fee	
144	580	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	
146	690	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (1) (\$ 690.00)

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 20 -20** = 0	x 0	= 00.00
Independent Claims 10 - 3** = 7	x 78	= 546.00
Multiple Dependent		

\*\*or number previously paid, if greater; For Reissues, see below

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim, if not paid
109	78	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 546.00)

SUBTOTAL (3) (\$)

Reduced by Basic Filing Fee Paid

Complete (if applicable)

Name (Print/Type)	Dennis A. DeBoo	Registration No. (Attorney/Agent)	42,471	Telephone	(916) 449-3983
Signature	Dennis A. DeBoo		Date	9/15/00	

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

**Applicant:** Scott Williams, et al

**For:** Custom Rule System And Method For Expert Systems

**Paper:**

1. A Patent Application (Utility) (comprised of pages 1 through 69);
2. Seventeen (17) sheets of drawing figures (comprised of figures 1 through 28);
3. A Utility Patent Application Transmittal Letter;
4. A Fee Transmittal (original and one copy);
5. Declarations for Patent Application;
6. A check in the amount of \$1,236.00; \$690 of which is to cover the government filing fee for a utility patent application and \$546.00 of which is to cover the government fee for seven (7) extra independent claims in excess of three (3);
7. A return receipt card.

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Express Mail, Post Office to Addressee, mailing label #EE847951204US, in an envelope addressed to:

Assistant Commissioner for Patents

Box Patent Application

Washington DC 20231

on September 15, 2000.

Dennis A. DeBoo

Dennis A. DeBoo  
(Signature)

September 15, 2000

(Date of Signature)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TITLE OF THE INVENTION:

**Custom Rule System And Method For Expert Systems**

INVENTOR:

**Scott Williams  
Alan Schachtele**

### **FIELD OF THE INVENTION**

The present invention relates generally to a custom rule system and method for expert systems and, in particular, to a custom rule system and method for creating custom rules for an expert system employed for protecting and managing assets including machinery.

## **BACKGROUND OF THE INVENTION**

Currently, expert system shells are employed to provide a framework in which users construct expert systems for, *inter alia*, protecting and managing assets including machinery.

Typically, and in essence, a developer constructs an expert system by first employing an expert system shell for building an application by creating a graphic model of the system which essentially results in a schematic diagram of the application. Rules are then written in a computer language for the application and contain an expert's knowledge of what the expert system should conclude and how it should respond to a given set of conditions: what to conclude from trends, how to determine a failure, what actions to take to minimize loss and maximize safety and productivity, and so forth.

One exemplary expert system is that which is sold under the name Machine Condition Manager™ 2000 by, the assignee of the present application, Bently Nevada Corporation of Minden, Nevada. This system has the powerful ability to, *inter alia*, allow new attributes and rule sets to be appended thereto and represents a new paradigm for automated diagnostic and machinery information management. Please see the commonly assigned U.S. Patent 5,905,989, filed November 27, 1996 of Biggs, entitled "Knowledge manager Relying On A Hierarchical Default Expert System: Apparatus and Method," which is hereby incorporated by reference in its entirety.

Notwithstanding, new or custom rules that need to be written for an expert system require a user to author them in a computer language, which makes writing new rules very difficult. Typically, rules are written in a text, with the help of a text editor. A parser converts the text into

symbols that are then sent to an interpreter or compiler in order to obtain a resultant rule. Thus, the user must learn the computer language in which the rules are to be written for each particular expert system and, in addition, the user must learn and understand the way previous rules were written for that particular expert system. This is a large time investment before a single rule can be written. The creation of rules that are not syntactically correct is ubiquitous even with the computer language learned and the expert system understood.

Additionally, some type of wizard interface may be employed to ask questions and then write code from the answers. However, this is still problematic in that text has to be parsed in order for it to be converted into symbols that are then sent to an interpreter or compiler in order to obtain a resultant rule and the user may still have to understand the way previous rules were written.

Accordingly, there is a need for a system and method that allows users of rule based expert systems to be able to easily write their own additional rules without having to learn a computer language and without understanding how any particular expert system works. Additionally, there is a need for a system and method that allows users of rule based expert systems to be able to easily write their own additional rules without effecting the execution of other rules in the expert system. Furthermore, there is need for a system and method that eliminates the possibility of creating custom rules that are not syntactically correct and thus, not valid for execution. Heretofore, the approach to writing rules was to let users create anything they wanted and then give them a list of errors that needed to be interpreted, edited, parsed and

compiled until a valid rule was obtained. Moreover, there is a need for a system and method that decreases the time spent on creating and processing custom rules.

## **SUMMARY OF THE INVENTION**

The present invention is distinguished over the known prior art in a multiplicity of ways. For one thing, the present invention provides a system and method that allows users of rule based expert systems to be able to easily write their own additional rules without having to learn a computer language, without understanding how any particular expert system works, and without effecting the execution of other rules in the expert system. Additionally, the present invention provides a system and method that eliminates the possibility of creating custom rules that are not syntactically correct and thus, not valid for execution. Furthermore, the present invention provides a system and method that decreases the time spent on creating and processing custom rules.

In one preferred form, the present invention includes a plurality of rule steps individually stored within a memory or database. The memory or database is operatively coupled to at least one computer having a display and interface for displaying a rules window and for graphically displaying an arrangement comprised of the stored rule steps. Individual rule steps that are to be utilized for creating a custom rule are selected, dragged, and dropped from the displayed arrangement to the rules window. The rule steps within the rules window are appropriately connected to create a custom rule and references to these rule steps, connections and data associated with these rules and connections are stored such that the computer knows the steps, the connections and data to employ when executing the created custom rule.

Thus, one hallmark of the present invention is that it provides a system and method that stores custom rules as rule steps and then displays these rule steps as graphical depictions such that these graphical depictions can be selected and interconnected to visually form custom rules.

## OBJECTS OF THE INVENTION

Accordingly, a primary object of the present invention is to provide a new, novel and useful custom rule system and method for creating custom rules for an expert system employed for protecting and managing assets including machinery.

A further object of the present invention is to provide a custom rule system and method as characterized above that allows users of rule based expert systems to be able to easily write their own additional rules without having to learn any type of computer language and without having to understand how any particular expert system works.

Another further object of the present invention is to provide a custom rule system and method that allows users of rule based expert systems to be able to easily write their own additional rules without effecting the execution of other rules in the expert system.

Another further object of the present invention is to provide a custom rule system and method that eliminates the possibility of creating custom rules that are neither syntactically correct nor valid for execution.

Another further object of the present invention is to provide a custom rule system and method for creating custom rules without having to write code that has to be parsed thereby eliminating the need for a parser and without having to fix return errors.

Another further object of the present invention is to provide a custom rule system and method that allows rules to be created and stored as steps in a ready to process format in a database thereby providing fast rule execution.

Another further object of the present invention is to provide a custom rule system and method that stores custom rules as executable steps that can have inputs and outputs that can be interconnected.

Another further object of the present invention is to provide a custom rule system and method that allows rule steps to run independently of one another.

Another further object of the present invention is to provide a custom rule system and method that includes a very simple custom rule interface that is easy to use and understand thereby allowing fast and easy rule entry.

Another further object of the present invention is to provide a custom rule system and method that provides a very simple custom rule interface that allows users to visually create rules from stored rule steps by selecting, dragging and dropping graphical rule steps correlative of the stored rules steps, interconnecting graphical rule step outputs to graphical rule step inputs and performing any required configuration of each step to create new custom rules.

Another further object of the present invention is to provide a custom rule system and method that only allows connections to be made from a rule step output to a rule step input when the type being output matches one of the valid types for the input thereby allowing the user to only interconnect the steps in a manner that is valid for execution precluding the creation of invalid rules or syntax errors.

Another further object of the present invention is to provide a custom rule system and method that verifies the input type and step execution order during rule creation thereby precluding users from creating invalid rules.

Another further object of the present invention is to provide a custom rule system and method that allows users to easily define custom rules and calculations that drive recommended actions based on asset problems.

Another further object of the present invention is to provide a custom rule system and method that allows users to visually create and interconnect rules from rule steps only in a manner that is valid for execution.

Another further object of the present invention is to provide a custom rule system and method that includes a user interface and rule step processing method that precludes infinite loops from being created.

Yet another object of the present invention is to provide a custom rule system and method that allows, *inter alia*, logic, arithmetic, statistical, switch, status, and result logic to be tied together and verified visually.

Still yet another object of the present invention is to provide a custom rule system and method that integrates operator precedence within the way the rule steps are connected such that the rule creator does not need to understand it.

Viewed from a first vantage point, it is an object of the instant invention to provide a custom rule system for creating custom rules, said custom rule system comprising in combination: a processor, a memory and a display both operatively coupled to said processor; a plurality of rule steps stored within said memory; means for graphically depicting said plurality of rule steps stored within said memory as an arrangement on said display; means for selecting at

least one of said graphically depicted rule steps from said arrangement for visually creating a custom rule on said display.

Viewed from a second vantage point, it is an object of the instant invention to provide a custom rule system for creating custom rules, said system comprising in combination: a database comprised of a multiplicity of rule steps, each said rule step having specific executable code associated therewith; a computer operatively coupled to said database and including a display for graphically depicting said rule steps in an array and for providing a graphical window; means for interacting with said array to select and place said graphically depicted rule steps from said array to said graphical window for graphical display; means for interconnecting said graphically displayed rule steps within said graphical window for creating a custom rule.

Viewed from a third vantage point, it is an object of the instant invention to provide a custom rule system for creating custom rules, said system comprising in combination: an arrangement of graphically depicted rule steps, each said graphically depicted rule step having assembled code associated therewith and stored within a database; means for individually selecting said graphically depicted rules steps from said arrangement and disposing said selected rules in a graphical window such that said selected rules are arranged in a substantially columnar format; means for graphically interconnecting said selected rule steps disposed in said graphical window, and means for storing said graphically interconnected selected rule steps disposed in said graphical window as a custom rule to be employed for decision making in an expert system.

Viewed from a fourth vantage point, it is an object of the instant invention to provide a custom rule system, comprising in combination: a database; a plurality of rule steps stored within

said database; a plurality of tables stored within said database, each of said plurality of tables having at least one record including at least one field; a plurality of step references stored within at least one of said plurality of tables, each of said plurality of step references associated with at least one of said rule steps stored within said database; a plurality of rule references stored within at least one of said plurality of tables, each of said plurality of rule references associated with at least one of said rule step references stored within said database, and wherein all of the step references that are associated with the same rule reference define each individual rule step that is included in an individual, user created custom rule.

Viewed from a fifth vantage point, it is an object of the instant invention to provide a A custom rule system, comprising in combination: a database; a plurality of rule steps stored within said database as executable code; a plurality of tables stored within said database, each having at least one record containing fields; a plurality of said fields including step references to individual rule steps stored within said database for defining a custom rule.

Viewed from a sixth vantage point, it is an object of the instant invention to provide a custom rule system for creating custom rules, said system comprising in combination: individual rule steps stored within a database coupled to a computer; means for graphically depicting said rules steps on a display of said computer; means for selecting and interconnecting a plurality of said graphically depicted rule steps for visually forming a custom rule in a graphical window of said display.

Viewed from a seventh vantage point, it is an object of the instant invention to provide a A custom rule system for creating custom rules on a computer having a display, said system

comprising in combination: an arrangement of graphically depicted rule steps displayed on said display and having inputs, outputs, or both inputs and outputs; means for connecting outputs of said graphically depicted rule steps to inputs of said graphically depicted rule steps for visually creating a custom rule.

Viewed from a eighth vantage point, it is an object of the instant invention to provide a method for creating custom rules, the steps including: storing individual rule steps comprised of executable code within a database coupled to a computer; depicting said rules steps on a display of said computer as a graphical arrangement of icons; creating a custom rule by interfacing with said graphical arrangement of icons.

Viewed from a ninth vantage point, it is an object of the instant invention to provide a method for creating custom rules, the steps including: selecting an asset for a custom rule; defining input value steps to be used in the custom rule; each said input value step including at least one output; depicting said input value steps in a graphical window of a graphical user interface of a computer; depicting a matrix of graphically depicted rule steps on said graphical user interface, each said graphically depicted rule step having assembled rule step code associated therewith and stored within a database coupled to said computer; selecting a result step from said matrix of graphically depicted rule steps and placing said result step into said graphical window, said result step including at least one input; defining a result that will be created when an input to said selected result step is true; selecting at least one operation step from said matrix of graphically depicted rule steps and placing said at least one operation step into said graphical window at a location interposed between said input value steps and said result

step, said at least one operation step having at least one input and at least one output; connecting said at least one output of each of said input value steps to said at least one input of said operation step, and connecting said at least one output of said operation step to said at least one input of said result step for creating a custom rule.

Viewed from a tenth vantage point, it is an object of the instant invention to provide a custom rule system, comprising in combination: a database; a multiplicity of text identifier numbers stored within said database; means for storing a table comprised of text associated with said multiplicity of text identifier numbers; means for returning text from said table to said system for each of said multiplicity of text identifier numbers stored within said database upon demand such that said database can be written and stored as said multiplicity of text identifier numbers.

These and other objects and advantages will be made manifest when considering the following detailed specification when taken in conjunction with the appended drawing figures.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a schematic of the custom rule system according to the present invention and including a database having custom rules stored as rule steps therein.

Figure 2 is a screenshot view of a unified graphical user interface illustrating a custom rule interface view including a rule window view, a rule step table view and generated rule logic view according to the instant invention.

Figure 3 is an expanded view of the rule step table view shown in figure 2 and according to the instant invention.

Figure 4 illustrates a database schema according to the instant invention.

Figure 5 illustrates tables associated with the database schema shown in figure 4 and according to the instant invention.

Figure 6 is a schematic view of the custom rule system being employed with an asset management system for protecting and managing industrial plant assets including a multifarious grouping of machinery and processes.

Figure 7 a screenshot view of a unified graphical user interface illustrating a custom rule interface view including a dialog box having a hierarchical tree view from which a train, machine, or component for which a custom rule is to be created according to the instant invention.

Figure 8 a screenshot view of the unified graphical user interface illustrating a custom rule interface view including a dialog box having the selected train, machine, or component

shown therein and a dialog having a plurality of categories from which to choose initial values to show for the custom rule that is being created according to the instant invention.

Figure 9 is a screenshot view of the unified graphical user interface illustrating a custom rule interface view including a rule window view, initial rule steps, a rule step table view and generated rule logic view according to the instant invention.

Figure 10 is the screenshot view as shown in figure 9 with the rule step table view not shown for clarity and including an addition to the rule window view of a undefined result step and its corresponding logic shown in the generated rule logic view according to the instant invention.

Figure 11 is a view of a properties dialog box that is displayed on the unified graphical user interface when defining property parameters for the undefined result step shown in figure 10.

Figure 12 is a view of a results dialog box that is displayed on the unified graphical user interface when defining result parameters for the undefined result step shown in figure 10.

Figure 13 is the screenshot view as shown in figure 10 with the undefined result step having been defined as a steam problem result, the severity level having been changed from two to three and corresponding logic shown in the generated rule logic view according to the instant invention.

Figure 14 is the screenshot view as shown in figure 13 including additional rules steps having been added to the rule window view.

Figure 15 is the screenshot view as shown in figure 14 including all of the rule steps being interconnected thereby completing the creations of a custom rule and having the completed rule logic shown textually in the generated rule logic view according to the instant invention.

Figure 16 illustrates a rule groups table including a record and its included fields.

Figure 17 illustrates a rules table including a record and its included fields.

Figure 18 illustrates a rule steps table including a record and its included fields.

Figure 19 illustrates a rule connections table including a record and its included fields.

Figure 20 illustrates a rule step inputs table including a record and its included fields.

Figure 21 illustrates a rule outputs table including a record and its included fields.

Figure 22 illustrates a result steps table including a record and its included fields.

Figure 23 illustrates a derived value steps table including a record and its included fields.

Figure 24 illustrates a system options table including a record and its included fields.

Figure 25 illustrates a class hierarchy table including a record and its included fields.

Figure 26 illustrates an English text table including a record and its included fields.

Figure 27 illustrates an English memo text table including a record and its included fields.

Figure 28 illustrates a properties text table including a record and its included fields.

## **DESCRIPTION OF PREFERRED EMBODIMENTS**

Considering the drawings, wherein like reference numerals denote like parts throughout the various drawing figures, reference numeral 10 is directed to the custom rule system according to the present invention.

In its essence, and referring to figure 1, the custom rule system 10 can be apportioned to include three primary modules: a custom rules module 40, a display module 50, and a custom rule set database module 60. The custom rule system 10 can further include a fourth primary module: an information extraction module 80. These modules can be integral with one another in any combination or can exist separately. In addition, these modules can reside on a single computer or on a plurality of independent computers that interact via a network.

In one embodiment, the system 10 includes at least one computer that is comprised of a processor 20, a memory 22, an input 42 having a mouse 44 and a keyboard 46, an output 52 having a display 54, and a database 72. A system bus 82 operatively couples together the processor 20, the memory 22, the input 42, the output 52, and the database 72.

The processor 20 is capable of, *inter alia*, performing computing functions and executing software programs.

Memory 22 preferably includes a read only memory (ROM) 24, a read/write memory (R/WM) 26, popularly known as random access memory (RAM), and a storage memory 28. ROM 24 is a nonvolatile memory (memory that retains stored information even without power) that typically stores a basic input/output system (BIOS) that provides, *inter alia*, user-transparent I/O when the processor 20 is operating under an operating system 30. R/WM 26 is a volatile

memory: memory that does not retain stored information without power. The storage memory 28 can include one or more hard disks, floppy disks, compact disks, cassette tapes, magnetic tapes, et cetera.

The operating system 30 is employed for orchestrating operation of the computer. The operating system 30 is typically stored in the R/WM 26 and on the storage memory 28 such as a hard disk and can include a shell 32. One or more application programs 34, such as the custom rules module 40 and display module 50 can be loaded (i.e., transferred from storage into memory) for execution by the system 10.

In turn, the output 52 cooperates with the operating system 30 and any application programs 34 for, inter alia, displaying application windows, graphical objects, icons, data from the R/WM 26, data from the storage memory 28, and data from the database 72 on the display 54 via the graphical user interface 48 and display module 50.

Typically, the graphical user interface 48 includes a desktop metaphor upon which one or more icons, application windows, or other graphical objects are displayed on the display 54. The mouse or other input device is utilized in conjunction with the graphical user interface 48 for controlling hardware components and software objects through the selection and manipulation of associated graphical objects displayed on the display 54.

Thus, the user employs the mouse 44 and/or keyboard 46 in conjunction with the graphical user interface 48 for inputting user commands and information including data such that the system 10 can operate on these inputs in accordance with instructions from the operating system 30 and any application programs 34 including the custom rules module 40 and the display

module 50. The keyboard is typically utilized to enter characters, but may also be utilized to perform the mouse functions.

The graphical user interface 48 also serves to display the results of these operations. Although shown conceptually as separate modules, the operating system 30 and shell 32 may provide the graphical user interface 48 and the custom rules module 40 may provide the display module 50.

The custom rule set database module 60 resides on database 72 and includes a plurality of user created custom rules 62 that are individually stored therein. Custom rules 62 are comprised of a plurality of tables 62. The tables 62 include at least one rule step table that in turn, includes a plurality of step references or identifiers (IDs) that are each associated with at least one of a plurality of Rule Steps 100 stored within the database 72. The rule step table further includes one or more rule references or identifiers (IDs) such that all of the step identifiers that are associated with the same rule identifier define the individual Rule Steps 100 that form an individual, user created custom rule. A plurality of user created custom rules can be defined by a plurality of rule identifiers, each comprised of a plurality of individual Rule Steps 100 that are individually stored within the database 72. Additionally, interconnections between the individual Rule Steps 100 that form any individual, user created custom rule are also stored in one or more of the tables 62.

More specifically, the Rule Steps 100 stored in the database 72 can include both binary and unary rule steps that either load operands or process operations. Hence, Rule Steps 100 can

be stored as operands and operations - not as text to be parsed. Additionally, Rule Steps 100 can have inputs and outputs.

Operand Rule Steps can include measurements, statuses, design values, hard coded functions, constants (numerical, Boolean or enumerated values), results from previously defined rules, user defined calculated values, and user defined calculated values. Operand Rule Steps load operand data.

Operation Rule Steps can be comprised of a multiplicity of operators such as mathematical operators, comparison operators, Boolean operators, and conditional logic operators. Mathematical operators may include addition (+), subtraction (-), multiplication (\*), division (/), find maximum value (Max), find minimum value (Min), and find absolute value (Abs). Comparison operators may include an equal to operator (=), a not equal to operator ( $\neq$ ), a less than operator ( $<$ ), a less than or equal to operator ( $\leq$ ), a greater than operator ( $>$ ), and a greater than or equal to operator ( $\geq$ ). Boolean operators may include AND, OR and NOT operators. Conditional logic operators may include IF-THEN-ELSE statements (e.g., IF input\_1 THEN input\_2 ELSE input\_3). Operation Rule Steps take the results of previous Rule Steps as inputs and the processing of an operation Rule Steps is independent of other Rule Steps.

The information extraction module 90 is operatively coupled to the processor 20 via the system bus 82. In its essence, the extraction module 90 extracts information from data acquisition devices 92 that collect data engendered from sensors 94 monitoring assets 96 including machinery. This information is processed according to; inter alia, user created custom rules for protecting and managing assets including machinery. For example, the extracted

information can be passed to the processor 20 via the system bus 82. The processor 20, in turn, runs any active user created custom rule on the information for obtaining conclusions about the monitored assets including the severity of any problems. The conclusions and the severities are then written to the database 72. These conclusions and severities, along with perhaps conclusions from other systems and configuration information from other databases, are then sent to a message routing system and processed to determine who should receive what information in what time frame to appropriately respond to the situation as will be further explored *infra*.

Figure 2 illustrates an example of a custom rule created according to the present invention. The rule, as shown, carries out the expression “IF A + B > C and D <= E THEN My\_Result.” Rule Steps 1 through 5 are operand Rule Steps having constants (10, 20, 30, 40 and 50) as inputs for variables (A, B, C, D, and E), respectively. Rule Steps 6 through 8 are operation Rule Steps that respectively include an addition process operation step, a greater than process operation step, and a less than or equal to process operation step. Rule Step 9 is a Boolean AND process operation step. The last step is a result process operation step that generates a result that preferably includes a conclusion or event and a user predefined severity level.

In accordance with the present invention, an example of one method and order for generating this custom rule includes the following steps: utilizing the mouse 44 in conjunction with the graphical user interface 48 for first choosing a menu option “new rule” from a file menu; selecting and manipulating (dragging and dropping) graphical rule steps depictions (graphical depictions of the Rule Steps 100 stored in database 72) from a steps palette or steps matrix 102 to

a separate rule window 170; entering constants, configuring any undefined result steps and interconnecting inputs and outputs of the Rule Steps 1 through 11. Note that the displayed palette or matrix defined by the present invention limits the user to only selecting valid Rule steps.

More Specifically, and still referring to figure 2 and to the expanded steps matrix 102 shown in figure 3, a constant Rule Step is employed for both Rule Steps 1 and 2. Thus, a constant rule step depiction 142 is consecutively dragged and dropped from the matrix 102 into a first columnar position as shown in figure 2. Rule Steps 3 through 5 are also comprised of the constant Rule Steps and the constant rule step depiction 142 is consecutively dragged and dropped from the matrix into a second columnar position as shown in figure 2. An addition Rule Step is employed for Rule Step 6 and its rule step depiction 104 is dragged and dropped from the matrix 102 into the second columnar position as shown in figure 2. A greater than Rule Step is employed for Rule Step 7 and its rule step depiction 120 is dragged and dropped from the matrix 102 into a third columnar position as shown in figure 2. A less than or equal to Rule Step is employed for Rule Step 8 and its rule step depiction 118 is dragged and dropped from the matrix into the third columnar position as shown in figure 2. A Boolean AND Rule Step is employed for Rule Step 9 and its rule step depiction 124 is dragged and dropped from the matrix into the fourth columnar position as shown in figure 2. A undefined result Rule Step is employed for rule step 11 and its rule step depiction 150 is dragged and dropped from the matrix into a fifth columnar position which concludes the selection of Rule Steps for this particular custom rule. The user then configures the conclusion and severity level of the undefined result Rule Step and

gives values to the constants (A, B, C, D, & E) via, for example, entry by the keyboard and manipulation of the mouse. The Rule Steps are then interconnected by, for example, clicking and holding a button of the mouse on an output of one rule step and then dragging to an input of another rule step and releasing the button. This process is repeated until all of the individual outputs of each Rule Step are connected to individual inputs of other Rule Steps as shown. Each connection, from a rule step output to a rule step input, is stored in the database 72. The end result of this process is a new custom rule that can also be stored in the database 72 and then actuated upon demand. Hence, the present invention allows custom rules to be created graphically and without writing a single line of code. In fact, the associated code that heretofore was required to create the custom rule of this example is shown in the rule logic graphical window 172.

The present invention stores rules as Rule Steps which make it possible to run rules very quickly. Since rules are not stored as text, but instead as executable Rule Steps, the system can preload all the Rule Steps 100 and when a custom rule is ran rule step processing starts immediately. Alternatively, the system can load the Rule Steps 100 in the order defined by the custom rule thereafter processes the custom rule. The outputs from Rule Steps are stored in memory. Whenever a Rule Step has an input from a previous Rule Step that value is available in memory and can be retrieved quickly.

In one preferred form, the Rule Steps are processed from left to right and rule connections to the input of any step that is at the same columnar position or to the left of itself is not allowed thereby insuring that all step outputs have been calculated before they are needed by any other

Rule Step. This also eliminates the possibility of infinite loops. Additionally, the present invention can preclude connection creation when the input/output types are incompatible or invalid as a result of being able to compare input/output types before allowing a connection to be made between any input and any output.

The present invention also allows loop type processing. For many Rule Steps the top (first level) input will be looped and compared with the bottom (second level) input. Multiple second input connections are allowed for several types of Rule Steps. All Rule Steps that allow multiple second level input connections preferably create the same output; regardless of which order the second level inputs are processed. An example is the addition (+) Rule Step. Five numbers can be added together by connecting the first number to its top input and then four more to its bottom input. The loop will then add the top number to one of the bottom inputs. The resulting value will be added to the next bottom input, until all of the bottom inputs have been added to the sum. The sum of all of the numbers will then be stored as the output from this step.

Turning to figures 4 and 5 can complete the above illustrative example.

Figure 4 illustrates a Database Schema 152 that shows how the custom rule set database 60 can be broken down into a series of logical steps instead of having a stream of text wherein every step has one or more inputs and a process. This database schema 152 is an example of tables 64 being comprised of four tables: an events table 154, a rule table 156, a rule\_step table 158, and a inputs table 160. These tables are stored within the database 60 and each of these tables includes one or more records 66 having fields 68. Multiple records 66 can be included in

each of these tables and each of the like multiple records within the same table typically include the same respective fields.

For example, every record in the rule table 156 may include four fields and there can be hundreds of records that correlate to hundreds of custom rules 62. Thus, the rule table 156 can include a multiplicity of records that in turn can each include a multiplicity of fields.

Every custom rule generates an event, which is a result and every rule is made up of one or more rule steps. For example, the fourth entry in the rule step table 158 is a step type which can be an operand step such as the constant steps shown in figure 2 or an operation step such as the addition step, the greater than step, the less than or equal to step, and the Boolean AND step also shown in figure 2. Each step has one or more inputs. In the case of the constant steps the step type would be an operand and the input would be a constant or a collected data value. In the case of operation steps, such as the addition step, the step type would be addition and inputs would be previous steps such as the constants or values generated in preceding steps.

Figure 5 shows an example of the actual table entries stored for the custom rule illustrated in figure 2. Starting with the rule table 156, there is shown a single record stored therein. This record includes a rule ID having a value of one (1) and a Rule\_Order or precedence having a value of one (1). The conf\_start and conf\_end fields are used to maintain all configurations for all time in the database and are further delineated *infra*.

Thus, the rule table 156 shows that there is a custom rule whose rule ID is one (1) and this associates over to the event table which shows that rule ID one generates a result called My\_Result. The rule table also associates over to the rule step table 158 that shows that there are

ten different Rule Steps that each has a rule ID of one (1). Hence, every entry in the rule step table that has a rule ID of one belongs to rule one. The step order happens to also follow the same order as the step ID. The first five step types (Step IDs 1 through 5) are all one (1) and for this example, the association is a call to go get or load data. Step ID 6 has a step type of two, which in this example, is the addition process. Step ID 7 has a step type of three, which in this example, is greater than process. Step ID 8 has a step type of four, which in this example, is less than or equal to process. Step ID 9 has a step type of five, which in this example, is a Boolean AND process. Finally, Step ID 1 has a step type of six, which in this example, is the result of the custom rule or the My\_Result event.

The rule table also associates over to the inputs table 160 that shows multiple fields under a column heading called step ID. Starting with step ID one, the processor looks for all inputs for step ID one, which in this example, includes only one input identified by an input that requires data to be loaded. Thus, step 1 is to go get the value for A and load it into memory so that it is available. More specifically, the Step ID one in the input table correlates to the Step ID one in the rule table that has a step type of one that is correlated to going to get an operand.

Similarly, step IDs 2, 3, 4, and 5 each also includes only one input that requires data to be loaded. Thus, steps 2, 3, 4, and 5 are steps that go get the respective values of B, C, D, and E, load them into memory so that they are available. Thus, the operands in steps 1 through 5 are represented by variables (A, B, C, D and E) and the steps are getting the real values or data for these variables. In this case, the data for the A, B, C, D and E variables are constants that may be stored in the table under the heading called Float.

Alternatively, segment IDs (SIDs) in the input table may provide a representation that is used by the processor for orchestrating what data to go load and where to load it from for each of the A, B, C, D and E variables.

Step ID six has two inputs: order or input number 1 and order or input number 2. These inputs come from step output one of step ID one and step output one of step ID two as is shown under the respective column headings called “FromStepOutput” and “FromStepID.” In this example, the respective outputs are from Rule Steps 1 and 2. Thus, the top or left input for step ID six comes from the output of Rule Step 1 and the bottom or right hand input comes from the output of Rule Step 2. Similarly, step ID seven has inputs that come from the outputs of Rule Steps 6 and 3, step ID eight has inputs that come from the outputs of Rule Steps 4 and 5, and step ID nine has inputs that come from the outputs of Rule Steps 7 and 8. The output of Rule Step 9 is the input to Rule Step 11 and generates the result called My\_Result. This illustrates how the steps are interconnected and how these interconnections are stored.

Referring back to the rule table 156, the fields under the Rule\_Order or precedence heading allow a user to use an output of one rule for an input to another rule. For example, a discharge stem high temperature event determined by one rule can be used in one or more other rules without having to rework the same logic a multiple of different times. Thus, this allows the system 10 to process a custom rule once, maintain that value and then reuse that value a multiple of different times.

However, the rule that determines that the stem temperature is high must be processed before the other rules are processed. Thus, there has to be precedence. As a result, the rules that

have dependencies on them, the rules that have an output that gets used in a future rule have to be processed first. For example, if a first result is generated and used in a second rule and then a second result is generated and used in a third rule there is a chain of dependency so a precedence is placed on them. All rules that have to get run first get, for example, a one, and rules that run next get a two and so on. This defines what order the rules are ran so that the wrong information is not used.

Referring now to figure 6, and expanding on figure 1, the custom rule system 10 can be operatively coupled to a multiplicity of sensors 94 monitoring assets 96 of, for example, an industrial plant. In turn, a signal conditioning, processing and communication systems 200 is operatively coupled to the multiplicity of sensors 94, instruments 202 and to a first stage processor and memory system 204 that includes the information extraction module 90.

The signal conditioning, processing and communication systems 200 can be employed for the data acquisition devices 92 and can be comprised of the following: parallel devices including online continuous devices, wired and wireless scanning/sequential devices including online scanning or multiplexing devices, off-line diagnostic and surveillance devices including portable data collectors, condition monitoring devices such as oil analysis, and processes controllers and devices including process controllers.

The first stage processor and memory system 204 can be comprised of the processor 20, the memory 22, the input 42, the output 52, the database 72 including the custom rule set database 60 and Rule Steps 100, and to extraction module 90 via the system bus 82 as shown in figure 1. Additionally, the first stage processor and memory system 204 is operatively coupled to

a systems processor 206, an expert system shell 208, an inference engine 210, a rule set database 212 and database 72. Note that the database 72 can be coupled to the first stage processor and memory system 204 and/or be integral formed with the first stage processor and memory system 204.

The signal conditioning, processing and communication systems 200 are used to receive raw data from the sensors and condition, process and communicate this data to the first stage processor and memory system 204. The first stage processor and memory system 204 processes and stores the communicated data. This information is then communicated, as it is asked for, to the information extraction module 90. The first stage processor and memory system 204 and the system processor 206 uses the information extracted by the information extracted module 90, in addition to any input from inputs 42 and from inference engine 210, and according to any activated rules from the custom rule set database 60 and rule set database 212 to obtain conclusions about the condition of the sensors 94, instruments 202, and assets 96 including machinery and processes.

The conclusions about the condition of the sensors, instruments, machinery and processes and the severity of any problems associated therewith (along with conclusions from other systems and configuration information from other data bases) can be sent to output(s) 52 and/or to the message routing system 216 via a second stage processor and memory system 214 such that the information is processed to determine who should receive what information in what time frame to appropriately respond to the situation. More particularly, the conclusions and severities drawn from the processed parameters are sent to the second stage processor and memory system

214 and then to the message routing system 90 that is customizable to route the specific conclusions to respective personnel via outputs 218 or to a host computer 220 via modems 222, which in turn can store and/or route the specific conclusions to respective personnel.

More specifically, and still referring to figure 6, the system 10 can include the expert system shell 208 having an object-oriented paradigm. The present invention uses this shell 208 in cooperation with either processor 204 or 206 to build a framework of a class hierarchical knowledge base that can reside in database 72. A general description of the attributes or properties of each asset in a plant to be managed and a rule set and/or a custom rule set for operating on these attributes are defined into classes that reside at the top of the hierarchical knowledge base. The next level down in the hierarchical knowledge base allows sub-classes to be appended to the hierarchical classes. Thus, a more specific description of the attributes of each asset in a plant and the methodology for operating on these attributes may be defined into sub-classes which inherit everything in the upper class from which they depend.

For example, an upper class may be defined generally as pump and a sub-class may be created called a water pump that depends therefrom. Thus, the sub-class called water pump inherits the defined attributes and methods defined in the hierarchical class called pumps. Thus, a specific type of water pump may be appended to the upper level of the hierarchy and would inherit and reuse everything contained within the hierarchical class called pump. In addition, the sub-class may be defined to add, delete or redefine the attributes and rule sets in the upper class called pumps.

Once the upper classes have been defined the specific assets in a specific plant may be configured as objects. In one form, the attributes and rule sets of these objects are written into the first stage processor and memory system 204 and piped over to the expert system shell via a bridge and are instantiated into objects to be processed by an object-oriented paradigm of the expert system shell 208.

Objects can be appended into the system 10 by using input 42 or storage memory 28 which may include configuration input and knowledge input. The knowledge input may include storage media, which works with storage memory 28, on which proprietary attributes and methodology of a specific type of asset have been stored. The first stage processor and memory system 204 simply reads the information off the modules and pipes the information over to the system processor 206 and shell 208 where it is instantiated into objects which represent the specific asset. This process can be accomplished without any trade secrets contained in the storage media being disclosed. Input(s) 42 can be used to directly insert configuration information and any other necessary information regarding assets to be managed. This information is handled in the same way as the information contained on the storage media.

Thus, each time an audit is to be performed a set of raw data is obtained from the sensors 94 representative of the conditions of the assets within, for example, a machine train for providing a snapshot in time of what is occurring in the machine train. The first stage processor and memory system 204 and/or the system processor 206 process these parameters. The information extraction module 90 then extracts information from these parameters and the first stage processor and memory system 204 and/or the system processor 206 process this extracted

information according to rules in the custom rule set database 60 and/or according to rules in the rule set database 212. Thus, the rule sets and custom rule sets can combine and integrate the information assembled by the Database.

The conclusions drawn from the processed parameters are then sent to the second stage processor and memory system 214 and then to the message routing system 216 that is customizable to route the specific conclusions to respective personnel or to a host computer 220 via modems 222, which in turn can store and/or route the specific conclusions to respective personnel.

More specifically, the second stage processor and memory system 214 of the system 10 outputs a plurality of signals to the message routing system 216 which determines where to output a message correlative to an actionable demand by the assets 96. Preferably, a severity level signal, a fault category signal and an asset with problem signal are associated with the output to the message routing system 216. The severity level may be defined as zero severity, one severity, two severity, three severity, et cetera. The fault category output may contain information on sensors, machinery, instruments, and processes. The asset with problem output may contain the information on the specific asset, for example a turbine having a steam problem.

The routing system 216 then determines where the message should be routed. For example, the message may be routed to one or more of the following: operators, machinery maintenance people, instrument and control system people, plant management people, sensor and instrument suppliers and machinery suppliers. For example, if the asset is a machine and the severity level is one the machinery maintenance department would receive an advisory. If the

asset was an instrument and the severity level was one the instrument and control departments would receive an advisory. If a process had a level one severity the operator and an outside contractor would receive an advisory.

In use and operation, a user interacts with the custom rule system 10 by utilizing the mouse in conjunction with the graphical user interface 48 for creating custom rules. For example, if the user wanted to create a rule that detects a “steam problem” the process can be as follows.

First, and referring to figure 7, the user would choose the menu option “new rule” from the file menu 162 and name that rule (e.g., new rule 1). The custom rule system 10 can then prompt the user to choose between creating a rule that would create a new custom rule or derived results by displaying on the GUI the following selections: Totally Custom Rules, Change Stored Rules and Derived Values. The user would then make a selection and subsequently click on a “next” icon 164 to move to the next dialog box. This next dialogue box 166, as shown in figure 7, would then display graphical objects of machine trains, machines or components to run the rule on. The user can then be prompted to select one or more of the graphical objects. The user would then utilize the mouse to select one or more of the graphical objects and would subsequently click on the “next” icon 164 to move to the next dialog box 176, which is shown in figure 8. Note that in this example the HP Turbine has been selected.

Referring now to the dialog box 176 shown in figure 8, the user would then be prompted to select the initial values to show for the rule. The custom rule system 10 would provide information from the database or knowledge database 72 and display it to the user in a variety of

classifications 178. The user would then select a particular classification from the different classification 178 for the selected machine. The classification may include raw data from transducers, processed data, or data from the database. For example, the classification can include configured data, measurement data, derived data, custom derived data, result data, and custom result data. The user would then select one or more items from the selected classification. For example, and as shown, the measurement classification has been selected and the user would then add measurements for the custom rule by selecting, for example the steam flow and steam pressure variables from the measurement classification thereby defining one step that is steam flow step 180 and one step that is steam pressure step 182 which are shown in figure 9. Subsequently the user would click on the “finish” icon 168 which would result in the custom rules module and display module bringing up the rules graphical window 170, the step pallet or matrix window 102, and the generated rule logic graphical window 172 as shown in figure 9.

Referring to figure 9, the user preferably utilizes the mouse 44 for selecting, dragging and dropping the Rule Step depictions from the step pallet or matrix 102 to the rules window 170 for defining the additional Rule Steps that are to be utilized in the “New Rule 1” custom rule.

More specifically, and referring to figures 9 and 10, the user can select, drag and drop the undefined result Rule Step depiction 150 from the palette into the rules window 170 where it is shown as Rule Step 184. Note that with this action a text version of the result step is disposed on the lower rule logic graphical window and includes a severity equal to two.

Clicking a button on the mouse 44, for example, clicking a right mouse button on the undefined result Rule Step 184 results in the custom rules and display modules bringing up a properties dialog box 196 and a result dialog box 198 as shown in figures 11 and 12, respectively. The properties dialog box 196 allows the user to select a result that gets created, and in this example, that result has been named “steam problem.” Additionally, the properties dialog box 196 allows the user to select between creating a new result, editing an existing result or deleting an existing result via a new graphical key 230, an edit graphical key 232 and a delete graphical key 234, respectively. When any of these graphical keys are actuated the result dialog box 198 appears as shown in figure 12. The user can then add, edit and/or delete the name, recommended actions and details of the created result.

Additionally, and referring to figure 13, the user can change the severity of the result by simply utilizing the mouse for clicking on any of severity boxes 236. In this example, the severity has been changed from severity two (2), as shown in figure 10, to severity three (3). This can also be seen from the text version disposed on the lower rule logic graphical window 172.

Additional rule steps are then added now that the result step has been added and defined.

Referring to figure 14, the user adds two constant Rule Steps 186, 188, two less than Rule Steps 190, 192, and a Boolean OR Rule Step 194. Note that palette 102 would also appear on graphical user interface 48 as shown in figures 3 and 9, but has been removed for clarity.

More specifically, and as shown in figures 3, 9 and 14, the user selects a first constant Rule Step depiction 142 from palette 102 and drags and drops it below the steam flow Rule Step

180 to form Rule Step 186 and then, the user selects a second constant Rule Step depiction 142 from palette 102 and drags and drops it below the steam pressure Rule Step 182 to form Rule Step 188 such that these four Rule Steps 180, 182, 186, 188 lie substantially within a first columnar position as shown in figure 14. Similarly, the user selects, drags and drops a first less than Rule Step depiction 116 into the rule window to form Rule Step 190 and then, the user selects, drags and drops a second less than Rule Step depiction 116 from the palette into the rule window to form Rule Step 192 such that the first and second less than Rule Steps 190, 192 lie substantially within a second columnar position which is to the right of the first columnar position. Finally, the user selects, drags and drops a Boolean Or Rule Step depiction 126 from the palette into the rule window to form Rule Step 194 such that the Or Rule Step 194 lies substantially within a third columnar position which is to the right of the second columnar position and to the left a fifth columnar position in which the result Rule Step 184 is disposed.

Once all of the Rule Steps are in place within the rules window 170, all that is left to do is input values for the constants and connect all the steps to finish the custom rule.

Referring to figure 15, the user merely clicks a mouse button onto an output of one rule and drags and then lets go of the mouse button on an input of another rule that is disposed in a column to its right. This process is continued until the connections 240, 242, 244, 246, 248, 250, and 252 are obtained as shown in figure 15. This completed custom rule is then stored as function of, inter alia, rule steps identifiers and connections as illustrated and explained hereinabove and with reference to figures 2 through 5. Note that the text in the generated rule

logic window 172 is automatically updated as the rule is created and shows the completed custom rule text logic of the custom rule when all of the rule interconnections are completed.

Preferably, Rules Steps cannot be connected to Rules Steps that are to the left of them, in other words rule step connections can only go one way. Every rule step is totally autonomous, and each rule step is processed before each subsequent rule step.

Additionally, as a custom rule is created the custom rules module checks to see if connections are valid, if invalid the module will not create a connection. The module is programmed to know what can and cannot be connected by comparing input and output type. In contrast when code is written there is nothing to warn the user if interconnection are improper.

Upon completion and when the custom rule fires, an actual result will be shown to the user. Custom rule results can also generate sub-results values that do not fire events. Additionally, a single rule can fire multiple events of varying severity. Furthermore, logic paths can be shared between event triggers.

The user can create a wide variety of custom rules from the graphically displayed step pallet or matrix 102 as has been delineated *supra*. In one preferred form of the present invention, and referring back to figures 1 and 3, the Rule Steps 100 which are graphically depicted in the step pallet or matrix 102 are as follows:

Addition Rule Step depicted by 104 sums numbers or data together. When the addition rule step depiction is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. All inputs from the

top and bottom left side inputs are added together irrespective of order and a result is outputted at the right side output.

Subtraction Rule Step depicted by 106 subtracts numbers or data. When the subtraction rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top number is the first number used at the beginning of the subtraction process such that one bottom number is initially subtracted from the top number thereby obtaining a result from which a subsequent bottom number is subtracted from to obtain a subsequent resultant and so on until there are no more bottom numbers to be subtracted. The final result is outputted at the right side output.

Multiplication Rule Step depicted by 108 multiplies numbers or data. When the multiplication rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. All inputs from the top and bottom left side inputs are multiplied together irrespective of order and the final is outputted at the right side output.

Division Rule Step depicted by 110 divides numbers or data. When the division rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top number is the first number used at the beginning of the division process such that at first any bottom number is initially divided into

the top number thereby obtaining a quotient from which a subsequent division is performed to obtain a subsequent quotient and so on until there are no more bottom numbers to be divided into the last subsequent quotient. The final result is outputted at the right side output.

Equal Rule Step depicted by 112 functions as a comparison step. When the equal rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared with each of the bottom values and each of the bottoms values must be equal to the top value for an equal condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Not Equal Rule Step depicted by 114 also functions as a comparison step: When the not equal rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared with each of the bottom values and each of the bottoms values must be unequal to the top value for an unequal or not equal condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Less Than Rule Step depicted by 116 also functions as a comparison step: When the Less Than rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared

with each of the bottom values and each of the bottoms values must be less than the top value for a less than condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Less Than Or Equal To Rule Step depicted by 118 also functions as a comparison step.

When the Less Than Or Equal To rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared with each of the bottom values and each of the bottoms items must be less than or equal to the top value for a less than or equal condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Greater Than Rule Step depicted by 120 also functions as a comparison step. When the Greater Than rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared with each of the bottom values and each of the bottom values must be less than the top value for greater than condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Greater Than Or Equal To Rule Step depicted by 122 also functions as a comparison step.

When the Greater Than Or Equal To rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of

handling only one connection and a bottom left side input preferable capable of handling multiple connections. The top value is compared with each of the bottom value and each of the bottom value must be less than or equal to the top value for greater than or equal to condition to hold true. A true or false final value in the form of for example, a one for true and a zero for false, is outputted at the right side output.

Boolean AND Rule Step depicted by 124 functions as a logic step. When the Boolean AND rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. All inputs must meet a certain condition, must be true or must have the same value for an AND condition to hold true. A true or false final value in the form of for example, a logic one for an AND condition that holds true and a logic zero for an AND condition that holds false, is outputted at the right side output.

Boolean OR Rule Step depicted by 126 also functions as a logic step. When the Boolean OR rule step is placed in the rules window its graphical depiction includes an output on its right side and a top left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling multiple connections. Any input must meet a certain condition or must be true for an OR condition to hold true. A true or false final value in the form of for example, a logic one for an OR condition that holds true and a logic zero for an OR condition that holds false, is outputted at the right side output.

Boolean NOT Rule Step depicted by 128 also functions as a logic step. When the Boolean NOT rule step is placed in the rules window its graphical depiction includes an output on its right side and an input on its left side. The NOT rule step inverts an input and is also referred to as an inverter rule step. For example, if a true value in the form of a logic one is inputted at the input of the Boolean NOT rule step a false value in the form of a logic zero is outputted at the output of the Boolean NOT rule step.

The Max Rule Step depicted by 130 finds the input value that has the largest value and outputs it. When the Max rule step is placed in the rules window its graphical depiction includes an output on its right side, a top left side input preferably capable of handling only one connection, and a bottom left side input preferable capable of handling multiple connections. All inputs are processed to find the largest value and this value is outputted at the right side output.

Min Rule Step depicted by 132 finds the input value that has the smallest value and outputs it. When the Min rule step is placed in the rules window its graphical depiction includes an output on its right side, a top left side input preferably capable of handling only one connection, and a bottom left side input preferable capable of handling multiple connections. All inputs are processed to find the smallest value and this value is outputted at the right side output.

Absolute (Abs) Value Rule Step depicted by 134 finds the absolute value of the input value and outputs it. When the Absolute Value rule step is placed in the rules window its graphical depiction includes an output on its right side and an input on its left side. Inputs are processed to find the absolute value and this value is outputted at the right side output.

Switch Rule Step depicted by 136 takes a value input at a top input, if that value is true it outputs a middle value, and if it is false a bottom input is outputted. Specifically, when the Switch rule step is placed in the rules window its graphical depiction includes an output on its right side, a top left side input preferably capable of handling only one connection, a middle left side input preferably capable of handling only one connection and a bottom left side input preferable capable of handling only one connection.

List Rule Step depicted by 138 classifies things as enumerations. In use and for example, a user would first select an item from a classification such as a bearing, which would result in an operand step appearing in the rule step window. Then the user would place a List Rule Step and an Equal step into the rules window. The user would then connect both the bearing classification and the list rule step to the equal step which would result in the List Rule step being filled with values that are associated with the bearing which can then be individually selected. Thus, the user does not have to know all the values associated with an element such as a bearing.

F(X) Rule Step depicted by 140 is an output step that outputs a derived value. The user would define a function and store it as an individual F(X) Rule. Alternatively, a user can store an output of a rule as an individual F(X) Rule. For example, and if a rule included eight inputs to an addition step and then the output was divided by eight in a division step an average value would be obtained and inputted to a F(X) Rule step which can then be stored and used in building subsequent rules. When the F(X) Rule step is placed in the rules window its graphical depiction includes an output on its right side and as many inputs as is required for the defined function.

Temp Rule Step depicted by 144 takes the user back to the screen to select more input steps.

True/False Rule Step depicted by 146 is an output step that outputs a true or a false value as defined by the user.

Rule Step depicted by 148 is derived value that can be used in other rules.

Result Rule Step depicted by 150 is the rule step that outputs the result of the custom rule.

Figures 16 through 28 illustrate one preferred form of each of tables 64 that are included in the custom rule set database 60. Each table includes at least one record having at least one field.

Specifically, figure 16 illustrates a rule groups table 260 showing a record comprised of the following fields: rule\_group\_id, rule\_group\_guid, group\_priority, is\_rule\_group\_active, rule\_group\_text\_id, rule\_group\_text, rule\_type, is\_password\_active, and rule\_group\_password.

The rule\_group\_id is the unique identifier for rule groups. Every rule that is written is assigned a group. The group that a rule belongs to determines the set of rules that it's priority is grouped with (order that the rule is run), who can view and edit the rule, and whether the group of rules is active. The rule\_group\_id is the local identifier. Between the databases the rule\_group\_guid must be checked to verify the valid rule group.

The rule\_group\_guid is an ID that is assigned to a rule group when it is created. The rule\_group\_guid is a unique number that is kept unique between different databases. If a rule group is copied from one database to another the result\_guid is copied with it.

The group\_priority is the priority that all of the rules that belong to that group are run.

All of the rule groups will be read in order of group\_priority. Then each of the groups rules will be run. For example, a group\_priority is 1 is the first group of rules that will be run and a group\_priority is 32,767 will be the last group of rules to be run.

When the is\_rule\_group\_active is true then, all of the rules that are part of the rule group (rule\_group\_id) should be run. If the is\_rule\_group\_active is false then, none of the rules that are part of the rule group (rule\_group\_id) should be run.

The rule\_group\_text\_id is a text\_id of the text that is displayed to describe the rule group. This text is only shown to the user if rule\_group\_text\_id  $\neq$  0. If rule\_group\_text\_id = 0, then the text in rule\_group\_text should be used.

The rule\_group\_text is the text that is displayed to describe the rule group. This text is only shown to the user if rule\_group\_text\_id = 0.

The rule\_type enumeration stores what type the rule was created as. The system 10 allows the user to create three separate types of rules. One for a derived value that can be used in any other rule. One for remapping an existing result severity and another for a user defined custom reportable result.

The is\_password\_active enumeration is true if the rule\_group\_password is active. This means that to see or edit any of the rules, derived values or custom rules the rule group must be activated by entering the correct password.

The rule\_group\_password is the password that must be typed in to activate an ability to view and edit that groups rules, custom results, and derived values.

Figure 17 illustrates a rules table 262 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, rule\_text, rule\_text\_id, is\_valid, is\_active, is\_deleted, rule\_priority, rule\_group\_id, component\_key, and num\_result\_steps.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Note that every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Note that every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The rule\_text is the text that is displayed as the name of the rule. This text is only shown to the user if rule\_text\_id = 0.

The rule\_text\_id is the text\_id of the text that is displayed as the name of the rule. This text is only shown to the user if rule\_text\_id  $\neq$  0. If rule\_text\_id = 0, then the text in rule\_text should be used.

The is\_valid enumerates that the rule is good and can be executed.

The is\_active enumerates that the rule is active and should be executed during rule processing.

The is\_deleted enumerates that the rule has been deleted. The rule has not been removed from the database so that we can still show the history of the rules.

The rule\_priority stores the order that rules should be run. The rule\_priority only indicates the order of rule processing within a given rule group. Each rule group is prioritized by group\_priority. A rule\_priority of one is the first rule that will be run and a rule\_priority of 2,147,483,648 would be the last rule to be run.

The rule\_group\_id is the unique identifier for rule groups. Every rule that is written is assigned a group. The group that a rule belongs to determines the set of rules that it's priority is grouped with (order that the rule is run), who can view and edit the rule, and whether the group of rules is active.

The component\_key is a unique identifier for components in the database.

The num\_result\_steps is the number of result steps on a given rule (rule\_id).

Figure 18 illustrates a rule steps table 264 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, step\_num, vert\_position\_num, horz\_position\_num, and operation\_id.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The step\_num is used to identify each of the individual rule steps in a rule. The step\_num starts with 1 for the first step that is added and is incremented by one for each additional step that is added to the rule. The step\_num is part of the primary key for the Rule Steps table. Every rule step has a record in the Rule Steps table and is given a step\_num when it is created. Each rule step can have records in other tables step\_num is used to find those other records that belong to a given rule step.

The vert\_position\_num stores the vertical location of the rule step. This is the vertical position where the rule is displayed on the display. The vert\_position\_num is also used to order rule step processing. The horz\_position\_num is the most important for rule processing order.

The horz\_position\_num stores the horizontal location of the rule step. This is the horizontal position where the rule is displayed on the display. The horz\_position\_num is the major value used to order rule step processing. All rule steps that have the same horz\_position\_num can be processed in any order.

The operation\_id is the identifier for each of the supported operations. There is one record for each type of operation add, subtract etc. supported in the custom rules processor.

Figure 19 illustrates a rule connections table 266 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, connection\_num, from\_step\_num, from\_output\_num, to\_step\_num, and to\_input\_num.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. The rule\_id is used as part of the foreign key in all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The connection\_num stores the number of the connection in the rule. This is part of the primary key for looking up connections in the rule. Connections are made from a rule step output to a rule step input. Every connection in the rule gets its own connection\_num.

The from\_step\_num is the step\_num of the rule step that has the output side of the connection.

The from\_output\_num is the output\_num of the rule step output that the connection is connected to.

The to\_step\_num is the step\_num of the rule step that has the input side of the connection.

The to\_input\_num is the input\_num of the rule step input that the connection is connected to.

Figure 20 illustrates a rule step inputs table 268 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, step\_num, input\_num, step\_input\_enum\_id, and step\_input\_enum\_id.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in all of the rule step tables. This way one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Every time a change is made in Rule Desk a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The step\_num is used to identify each of the individual rule steps in a rule. The step\_num starts with one for the first step that is added and is incremented by one for each additional step that is added to the rule. The step\_num is part of the primary key for the Rule Steps table. Every rule step has a record in the Rule Steps table and is given a step\_num when it is created. Each

rule step can have records in other tables and step\_num is used to find those other records that belong to a given rule step.

Each rule operation can have multiple inputs. Input\_num identifies which input the records data describes.

The value\_type stores the type of value in or out of a rule step. This is used to retrieve the values and to verify proper connection attachment when creating new rules.

The step\_input\_enum\_id is the enum\_id of the rule step input. A zero step\_input\_enum\_id indicates that the input is not an enumeration value.

Figure 21 illustrates a rule outputs table 270 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, step\_num, output\_num, value\_type, b\_value, f\_value, i\_value, e\_value, units, sub\_units, field\_id, component\_key, step\_output\_enum\_id, and loop\_num.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The `end_rule_conf_id` stores the last `rule_conf_id` for which a rule or rule step is valid. Every time a change is made in system 10 a new `rule_conf_id` is created. The `rule_conf_id` is then used to flag all new rule, rule steps, or changed rules or rule steps.

The `step_num` is used to identify each of the individual rule steps in a rule. The `step_num` starts with one for the first step that is added and is incremented by one for each additional step that is added to the rule. The `step_num` is part of the primary key for the Rule Steps table. Every rule step has a record in the Rule Steps table and is given a `step_num` when it is created. Each rule step can have records in other tables and the `step_num` is used to find those other records that belong to a given rule step.

Each rule operation can have multiple outputs. The `output_num` identifies which output the records data describes.

The `value_type` stores the type of value in or out of a rule step. This is used to retrieve the values and to verify proper connection attachment when creating new rules.

The `b_value` is the value of the Rule Step output if the `value_type` is Boolean.

The `f_value` is the `value_type` of the Rule Step output if the `value_type` is float.

The `i_value` is the value of the Rule Step output, if the `value_type` is integer. If the `value_type` is an enumeration then the `enum_value` number is stored in `i_value`.

The `e_value` is the `enum_id` value of the Rule Step output, if the `value_type` is an enumeration. The `enum_value` for look up of the actual enumeration will be in `i_value`.

Units is the unit type that the rule step output was stored as. This can be used for verifying unit types or conversion of unit types. This will most likely only be necessary when class based rules are added.

The sub\_units is the sub\_unit type that the rule step output was stored as. This can be used for verifying sub unit types or conversion of sub unit types. This will most likely only be necessary when class based rules are added.

The field\_id is a number used to specify a specific field in the database. This number can be used to look up pertinent data to be able read and write the field information from the Fields table.

The component\_key is a unique identifier for components in the database.

The step\_output\_enum\_id is the enum\_id of the rule step output. A step\_output\_enum\_id having a value of zero indicates that the output is not an enumeration value.

The loop\_num is the number of the field if more than one can be available. An example would be for exhaust temperatures. There can be multiple exhaust temperatures on a turbine. loop\_num would be the number of the exhaust temperature point.

Figure 22 illustrates a result steps table 272 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, step\_num, result\_type, multiple\_severities, create\_severity\_0\_result, auto\_staus\_checking, and no\_result.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in

all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in the system a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The step\_num is used to identify each of the individual rule steps in a rule. The step\_num starts with one for the first step that is added and is incremented by one for each additional step that is added to the rule. The step\_num is part of the primary key for the Rule Steps table. Every rule step has a record in the Rule Steps table and is given a step\_num when it is created. Each rule step can have records in other tables and the step\_num is used to find those other records that belong to a given rule step.

The result\_type is the number used in the system 10 to identify a reportable result. The result\_type is more like a local nickname for the result. This number can be different for the same type of result in a different database. The result\_guid is a unique number that can be used to positively identify results between databases.

The multiple\_severities is selectable by the user. If the user wants to be able to fire multiple severity levels with one result step then they enable multiple severities in the properties

of the rule step. The multiple\_severities is true if the user selects and activates the multiple severity option.

The create\_severity\_0\_result is selectable by the user. If create\_severity\_0\_result is true, then the user has selected to have a severity zero result created.

The auto\_staus\_checking is selectable by the user. If auto\_staus\_checking is true, then the user has selected to have automatic status checking of all values used in the rule. If any of the variables use in the rule are not useable then a zero severity is created and a display and a data sufficiency result is also created.

The no\_result is a flag to indicate weather an input should be shown that allows the result to not be created if the value into it is evaluated to true.

Figure 23 illustrates a derived value steps table 274 showing a record comprised of the following fields: rule\_id, beg\_rule\_conf\_id, end\_rule\_conf\_id, step\_num, and step\_num.

The rule\_id is the unique identifier for each rule. Changes to rules can be tracked by using the beg\_rule\_conf\_id and end\_rule\_conf\_id. rule\_id is used as part of the foreign key in all of the rule step tables. This is how one can find all of the rules steps that belong to a given rule\_id.

The beg\_rule\_conf\_id stores the most recent rule\_conf\_id when a rule or rule step was created. Every time a change is made in the system a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The end\_rule\_conf\_id stores the last rule\_conf\_id for which a rule or rule step is valid. Every time a change is made in the system 10 a new rule\_conf\_id is created. The rule\_conf\_id is then used to flag all new rule, rule steps, or changed rules or rule steps.

The step\_num is used to identify each of the individual rule steps in a rule. The step\_num starts with one for the first step that is added and is incremented by one for each additional step that is added to the rule. The step\_num is part of the primary key for the Rule Steps table. Every rule step has a record in the Rule Steps table and is given a step\_num when it is created. Each rule step can have records in other tables and the step\_num is used to find those other records that belong to a given rule step.

The derived\_value\_id is the number used in the system to identify a derived value. The derived\_value\_id is more like a local nickname for the derived value. This number can be different for the same type of derived value in a different database. The derived\_value\_guid is a unique number that can be used to positively identify derived values between databases.

Figure 24 illustrates a system options table 276 showing a record comprised of the following fields: system\_options\_key, database\_major\_version, database\_minor\_version, and database\_custom\_version.

The system\_options\_key is the primary key for the System Options table. This table should only have one record.

The database\_major\_version is the major version of the database. When major changes to the database occur one can roll the major version.

The database\_minor\_version is the minor version of the database. When minor changes to the database occur one can roll the minor version. Whenever the database\_major\_version is incremented the database\_minor\_version should be set back to one.

The database\_custom\_version is the custom version of the database. If one creates a custom version of the database then one can distinguish these changes with the database\_custom\_version.

Figure 25 illustrates a class hierarchy table 278 showing a record comprised of the following fields: component\_type, ancestor\_component\_type, and ancestor\_level.

The component\_type stores the type of the component. There must be an entry in a "Component Types" table to define every component defined in the range.

The ancestor\_component\_type stores the type of the component that a component\_type inherits from in acquisition database.

The ancestor\_level is how far up the ancestor tree the ancestor\_component\_type is. ancestor\_level having a value of one is a parent ancestor\_component\_type. ancestor\_level having a value of two is a grandparent ancestor\_component\_type et cetera.

Figure 26 illustrates an English text table 280 showing a record comprised of the following fields: text\_id and english\_text.

The text\_id is a number that is used to look up an actual text value in a string table in a separate DLL. Text\_ids are used to make internationalization easier.

Please note the following:

The `text_id` is used instead of actual text in the database. The reason the system 10 uses a text identifier is that it can define text in the database as a number while having the actual text is stored in a string table in a language module. This is done for internationalization. If one were to put our text in the database then it would create problems for the internationalization of system 10.

A text value that can be either entered by a developer or the user includes both a `text_id` and a regular text field. In this case one can always start by looking at the `text_id` field. If the `text_id` field  $\neq 0$  then one would look up the value in the language module. If the `text_id = 0` then the value in the actual text field typed in by the user would be used.

Without using `text_ids` some of the problems would be:

If the database is translated into Japanese all the text will all be in Japanese. This would be the case even if the user has an English version of the display module.

It would be harder for a translation group to figure out what text needs to be translated in the database. Now all they need to do is use their tools and translate all the text in the one string table. This is much easier and more reliable.

An additional benefit is that one only needs to pass a number across a connection, not the whole string. This can make a large performance improvement when connected through a modem.

The `english_text` is copy of the text that is in the English version of the `text_id`. This field should never be used directly. This field is for reference. The `text_id` can return a value from this table if the string does not exist in the string table. This should only happen if an old version

of the `text_id` is being used with a newer version of the database. This ability is so that if you had a Japanese version of the system 10 connected to a database that has `text_ids` that your `text_id` does not have values for it will not return a blank but rather the English version of the text instead.

Thus, and in essence, text is stored as a text identification number (a `text_id` number) so that it can be internationalized. The text identification number associates a number to different types of text, for example, a letter, a symbol, a number, a word or a string of letters, symbols, numbers, and/or words. Code can then be used to send the language module a number which is returned to the system 10 as text thereby allowing all the text in the database to be written and stored as text identification numbers which can later be easily translated by code that associates the text characters to stored text identification numbers for obtaining a database that can be written in any language.

Figure 27 illustrates an English memo text table 282 showing a record comprised of the following fields: `text_id` and `english_memo_text`.

The `text_id` is a number that is used to look up an actual text value in a string table in a separate language module. `Text_ids` are used to make internationalization easier.

The `english_memo_text` is only used if the text is too big to fit in the `english_text` field and is a copy of the text that is in the English version of the `text_id`. This field should never be used directly. This field is here for reference. The `text_id` can return a value from this table if the string does not exist in the string table. This should only happen if a old version of the `text_id` is being used with a newer version of the database. This ability is so that if you had a

Japanese version of the system 10 connected to a database that has text\_ids that your text\_id does not have values for it will not return a blank but rather the English version of the text instead.

Figure 28 illustrates a properties text table 284 showing a record comprised of the following fields: property\_texts\_id, pp\_text\_id, ds\_text\_id, rack\_text\_id, mon\_text\_id, chan\_text\_id, and t\_text\_id.

The property\_texts\_id is the unique identifier for the record that contains the text id values for each of the property values.

The pp\_text\_id is the text id that is used to describe the set of all pp\_values

The ds\_text\_id is the text id that is used to describe the set of all ds\_values.

The rack\_text\_id is the text id that is used to describe the set of all rack\_values.

The mon\_text\_id is the text id that is used to describe the set of all mon\_values.

The chan\_text\_id is the text id that is used to describe the set of all chan\_values.

The t\_text\_id is the text id that is used to describe the set of all t\_values.

Moreover, having thus described the invention, it should be apparent that numerous structural modifications and adaptations may be resorted to without departing from the scope and fair meaning of the present invention as set forth hereinabove and as described hereinbelow by the claims.

## **CLAIMS**

I Claim:

Claim 1 - A custom rule system for creating custom rules, said custom rule system comprising in combination:

a processor,

a memory and a display both operatively coupled to said processor;

a plurality of rule steps stored within said memory;

means for graphically depicting said plurality of rule steps stored within said memory as an arrangement on said display;

means for selecting at least one of said graphically depicted rule steps from said arrangement for visually creating a custom rule on said display.

Claim 2 - The system of claim 1 wherein said memory is a database wherein said rule steps are individually stored as executable code.

Claim 3 - The system of claim 2 wherein said created custom rule is employed for decision making in an expert system by accessing said executable code for each said rule step that is both graphically depicted and selected for visually creating said custom rule on said display.

Claim 4 - A custom rule system for creating custom rules, said system comprising in combination:

a database comprised of a multiplicity of rule steps, each said rule step having specific executable code associated therewith;

a computer operatively coupled to said database and including a display for graphically depicting said rule steps in an array and for providing a graphical window;

means for interacting with said array to select and place said graphically depicted rule steps from said array to said graphical window for graphical display;

means for interconnecting said graphically displayed rule steps within said graphical window for creating a custom rule.

Claim 5 - The system of claim 4 further including a reference stored within said database for referencing said specific executable code associated with each rule step that is graphically displayed within said graphical window.

Claim 6 - The system of claim 5 further including a processing means for processing said created custom rule by processing said referenced executable code.

Claim 7 - The system of claim 6 further including means for providing a decision based upon said processing of said created custom rule.

Claim 8 - The system of claim 7 further including means for routing said decision based upon said processing of said created custom rule to appropriate personal.

Claim 9 - A custom rule system for creating custom rules, said system comprising in combination:

an arrangement of graphically depicted rule steps, each said graphically depicted rule step having assembled code associated therewith and stored within a database;

means for individually selecting said graphically depicted rule steps from said arrangement and disposing said selected rules in a graphical window such that said selected rules are arranged in a substantially columnar format;

means for graphically interconnecting said selected rule steps disposed in said graphical window, and

means for storing said graphically interconnected selected rule steps disposed in said graphical window as a custom rule to be employed for decision making in an expert system.

Claim 10- A custom rule system, comprising in combination:

a database;

a plurality of rule steps stored within said database;

a plurality of tables stored within said database, each of said plurality of tables having at least one record including at least one field;

a plurality of step references stored within at least one of said plurality of tables, each of said plurality of step references associated with at least one of said rule steps stored within said database;

a plurality of rule references stored within at least one of said plurality of tables, each of said plurality of rule references associated with at least one of said rule step references stored within said database, and

wherein all of the step references that are associated with the same rule reference define each individual rule step that is included in an individual, user created custom rule.

Claim 11 - A custom rule system, comprising in combination:

a database;

a plurality of rule steps stored within said database as executable code;

a plurality of tables stored within said database, each having at least one record containing fields;

a plurality of said fields including step references to individual rule steps stored within said database for defining a custom rule.

Claim 12 - The rule based system of claim 11 further including an extraction module for extracting information engendered from sensors and a processor operatively coupled to said extraction module and said database for processing said extracted information according to said defined custom rule.

Claim 13 - A custom rule system for creating custom rules, said system comprising in combination:

individual rule steps stored within a database coupled to a computer;

means for graphically depicting said rules steps on a display of said computer;

means for selecting and interconnecting a plurality of said graphically depicted rule steps for visually forming a custom rule in a graphical window of said display.

Claim 14 - A custom rule system for creating custom rules on a computer having a display, said system comprising in combination:

an arrangement of graphically depicted rule steps displayed on said display and having inputs, outputs, or both inputs and outputs;

means for connecting outputs of said graphically depicted rule steps to inputs of said graphically depicted rule steps for visually creating a custom rule.

Claim 15 - A method for creating custom rules, the steps including:

storing individual rule steps comprised of executable code within a database coupled to a computer;

depicting said rules steps on a display of said computer as a graphical arrangement of icons;

creating a custom rule by interfacing with said graphical arrangement of icons.

Claim 16 - The method of claim 15 wherein the step of creating said custom rule by interfacing with said graphical arrangement of icons further includes the steps of selecting and placing a plurality of said icons from said arrangement to a graphical window on said display.

Claim 17 - The method of claim 16 wherein the step of creating said custom rule by interfacing with said graphical arrangement of icons further includes the step of interconnecting said icons placed within said graphical window.

Claim 18 - A method for creating custom rules, the steps including:

selecting an asset for a custom rule;

defining input value steps to be used in the custom rule; each said input value step including at least one output;

depicting said input value steps in a graphical window of a graphical user interface of a computer;

depicting a matrix of graphically depicted rule steps on said graphical user interface, each said graphically depicted rule step having assembled rule step code associated therewith and stored within a database coupled to said computer;

selecting a result step from said matrix of graphically depicted rule steps and placing said result step into said graphical window, said result step including at least one input;

defining a result that will be created when an input to said selected result step is true;

selecting at least one operation step from said matrix of graphically depicted rule steps and placing said at least one operation step into said graphical window at a location interposed between said input value steps and said result step, said at least one operation step having at least one input and at least one output;

connecting said at least one output of each of said input value steps to said at least one input of said operation step, and

connecting said at least one output of said operation step to said at least one input of said result step for creating a custom rule.

Claim 19 - A custom rule system, comprising in combination:

a database;

a multiplicity of text identifier numbers stored within said database;

means for storing a table comprised of text associated with said multiplicity of text identifier numbers;

means for returning text from said table to said system for each of said multiplicity of text identifier numbers stored within said database upon demand such that said database can be written and stored as said multiplicity of text identifier numbers.

Claim 20 - The custom rule system of claim 19 wherein said table can be comprised of text in any language.

## **ABSTRACT**

A custom rule system and method that stores custom rules as rule steps and then displays these rule steps as graphical depictions such that these graphical depictions can be selected and interconnected to visually form custom rules for an expert system.

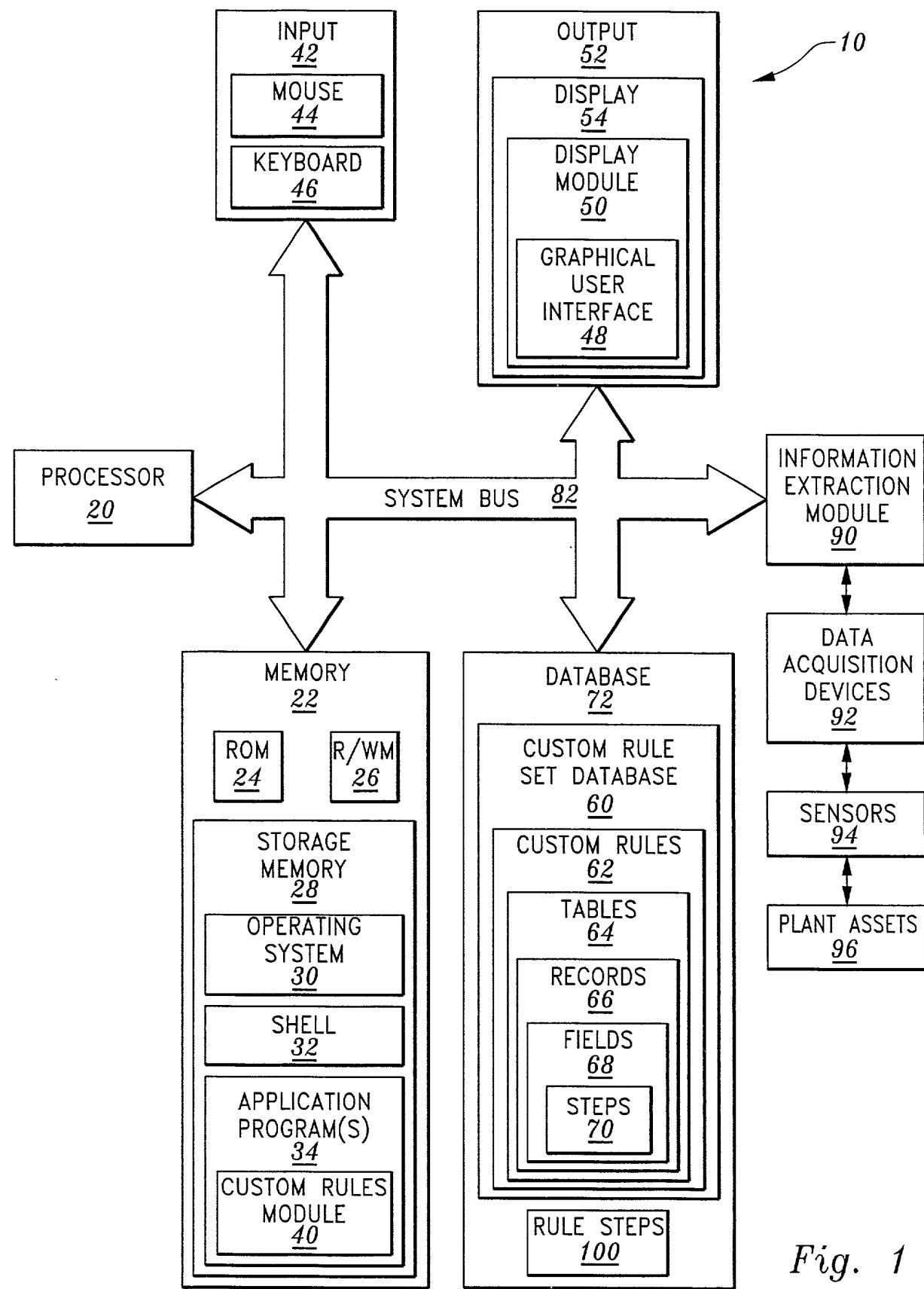


Fig. 1

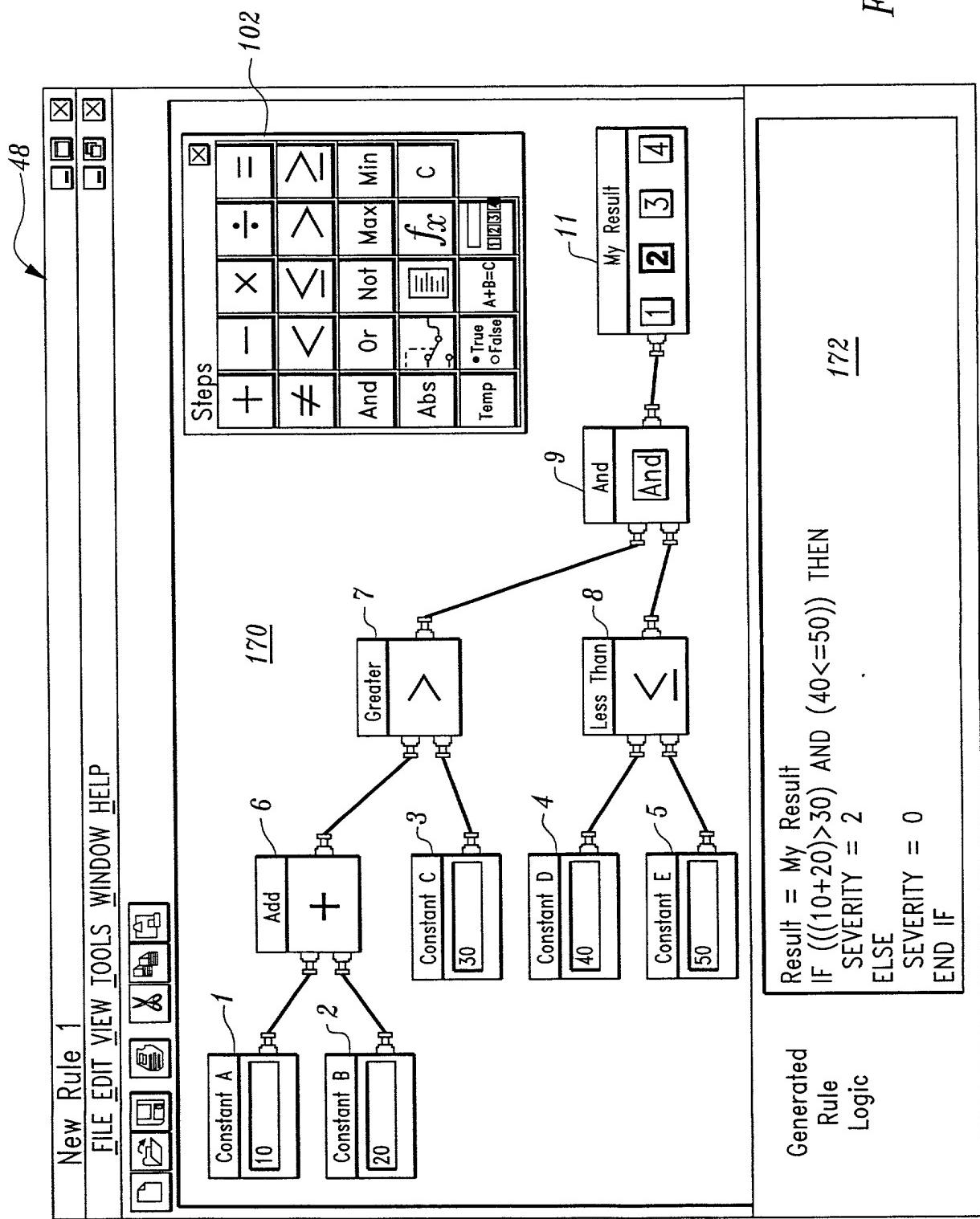


Fig. 2

102

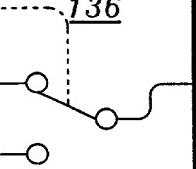
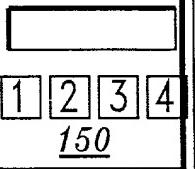
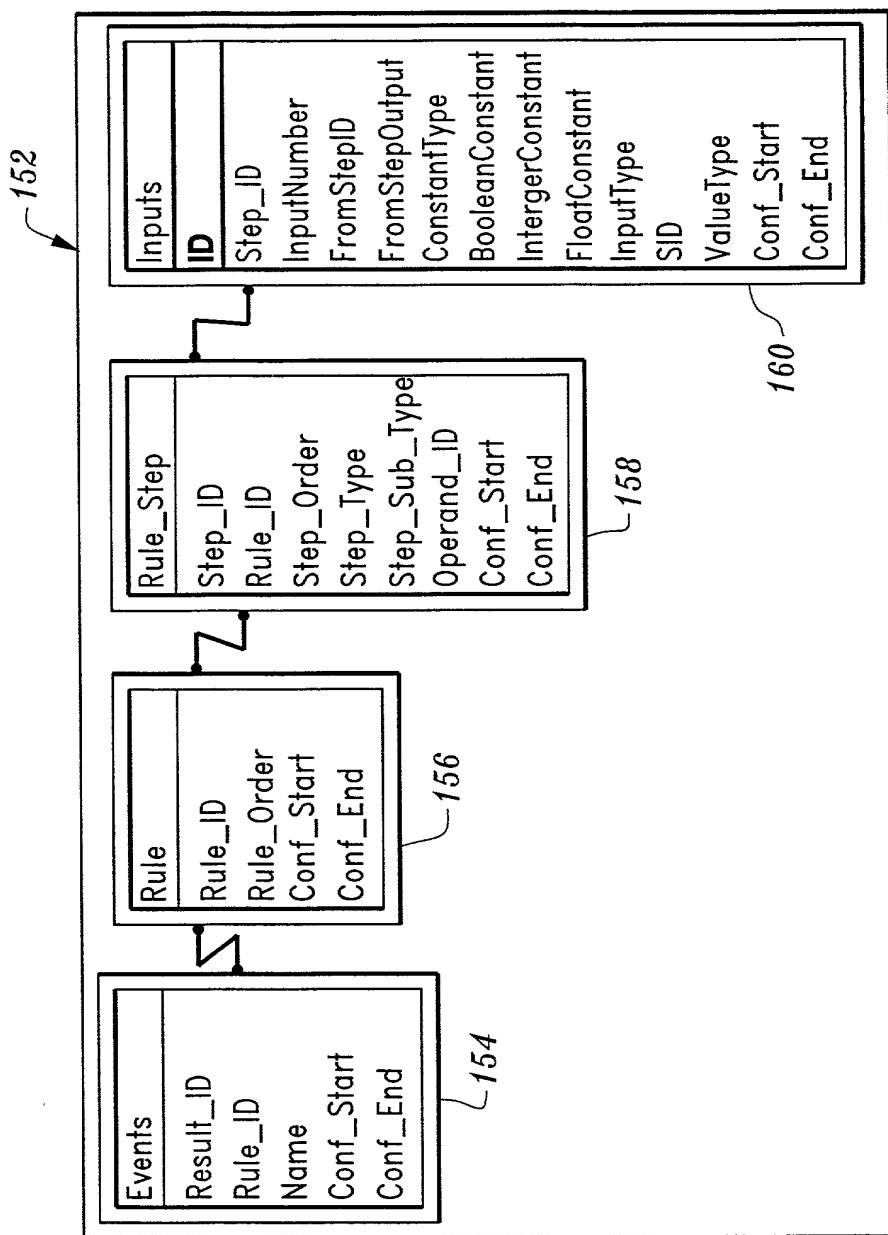
<b>Steps</b>				
<u>104</u> $+$	<u>106</u> $-$	<u>108</u> $\times$	<u>110</u> $\div$	<u>112</u> $=$
<u>114</u> $\neq$	<u>116</u> $<$	<u>118</u> $\leq$	<u>120</u> $>$	<u>122</u> $\geq$
<u>124</u> And	<u>126</u> Or	<u>128</u> Not	<u>130</u> Max	<u>132</u> Min
<u>134</u> Abs	<u>136</u> 	<u>138</u> 	<u>140</u> $f_x$	<u>142</u> C
<u>144</u> Temp	<u>146</u> • True ○ False	<u>148</u> A+B=C	 150	

Fig. 3

Fig. 4



The diagram illustrates the relationships between four database tables:

- Events Table** (labeled 154): Contains columns: Event\_ID, Rule\_ID, Name, Conf\_Start, Conf\_End. Data: (1, 1, 'My\_Result', 1, 255)
- Rule Order** (labeled 156): Contains columns: Rule\_Order, Rule\_ID, Rule\_Order, Conf\_Start, Conf\_End. Data: (1, 1, 1, 1, 255)
- Step Table** (labeled 158): Contains columns: Step\_ID, Rule\_ID, Step\_Order, Step\_Type, Step\_Sub, Conf\_Start, Conf\_End. Data: (1, 1, 1, 1, 1, 1, 255), (2, 1, 2, 1, 1, 1, 255), (3, 1, 3, 1, 1, 1, 255), (4, 1, 4, 1, 1, 1, 255), (5, 1, 5, 1, 1, 1, 255), (6, 1, 6, 1, 2, 1, 255), (7, 1, 7, 1, 3, 2, 255), (8, 1, 8, 1, 4, 3, 255), (9, 1, 9, 1, 5, 1, 255), (11, 1, 10, 1, 6, 4, 255)
- Inputs Table** (labeled 160): Contains columns: ID, Step\_ID, InputNumber, FromStepID, FromStepOutput, Boolean, Integer, Float, SID, ValueType, Conf\_Start, Conf\_End. Data: (1, 1, 1, 0, 0, 0, 0, 10, 0, 3, 1, 255), (2, 2, 1, 0, 0, 0, 0, 20, 0, 3, 1, 255), (3, 3, 1, 0, 0, 0, 0, 30, 0, 3, 1, 255), (4, 4, 1, 0, 0, 0, 0, 40, 0, 3, 1, 255), (5, 5, 1, 0, 0, 0, 0, 50, 0, 3, 1, 255), (6, 6, 1, 1, 1, 0, 0, 0, 0, 0, 1, 255), (7, 6, 2, 2, 2, 0, 0, 0, 0, 0, 1, 255), (8, 7, 1, 6, 1, 0, 0, 0, 0, 0, 1, 255), (9, 7, 2, 3, 1, 0, 0, 0, 0, 0, 1, 255), (10, 8, 1, 4, 1, 0, 0, 0, 0, 0, 1, 255), (11, 8, 2, 5, 1, 0, 0, 0, 0, 0, 1, 255), (12, 9, 1, 7, 1, 0, 0, 0, 0, 0, 1, 255), (13, 9, 2, 8, 1, 0, 0, 0, 0, 0, 1, 255), (14, 11, 1, 9, 1, 0, 0, 0, 0, 0, 1, 255)

Relationships are indicated by arrows:

- An arrow from the Events Table to the Rule Order table is labeled 154.
- An arrow from the Events Table to the Step Table is labeled 156.
- An arrow from the Rule Order table to the Step Table is labeled 158.
- An arrow from the Step Table to the Inputs Table is labeled 160.

Fig. 5

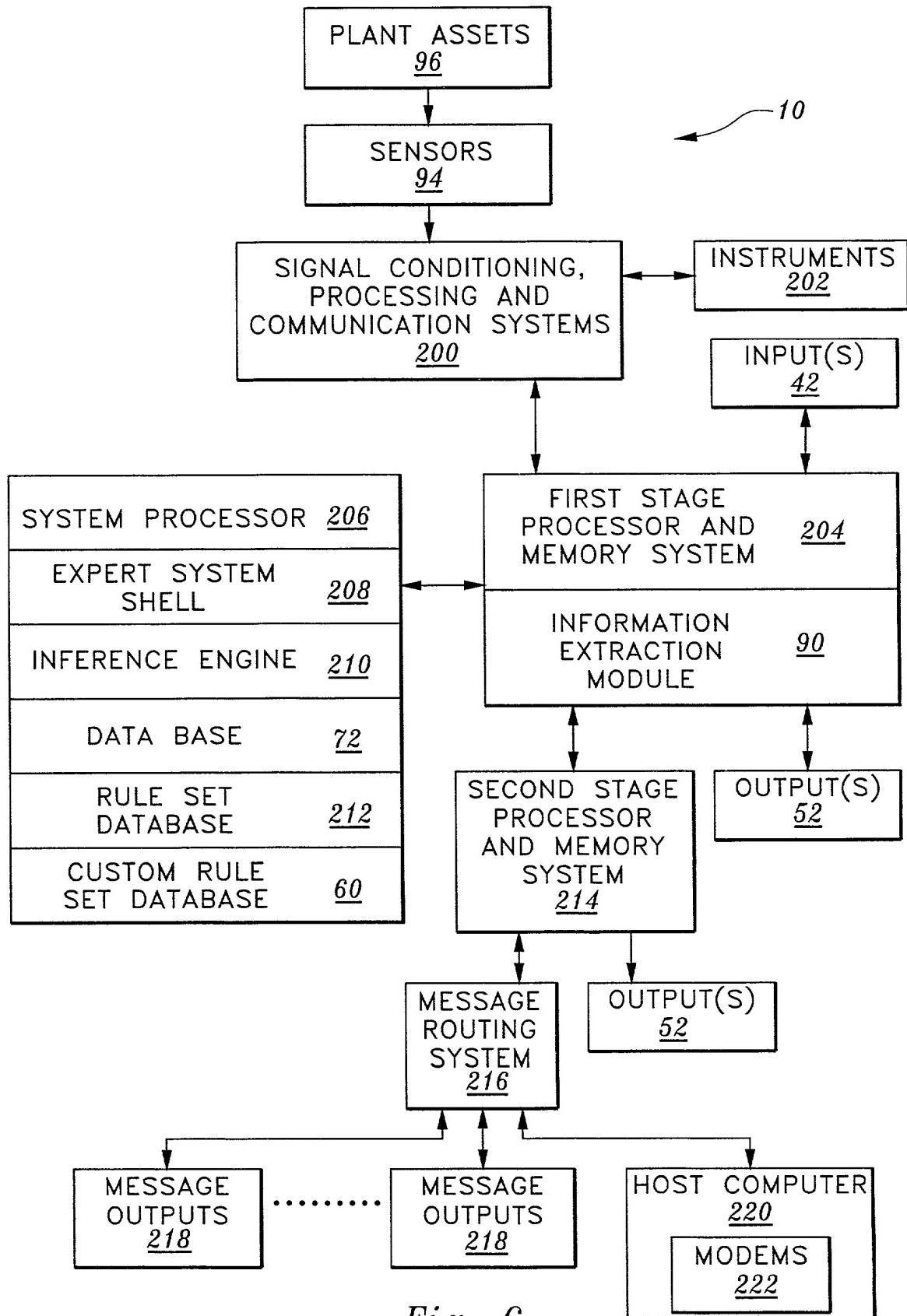
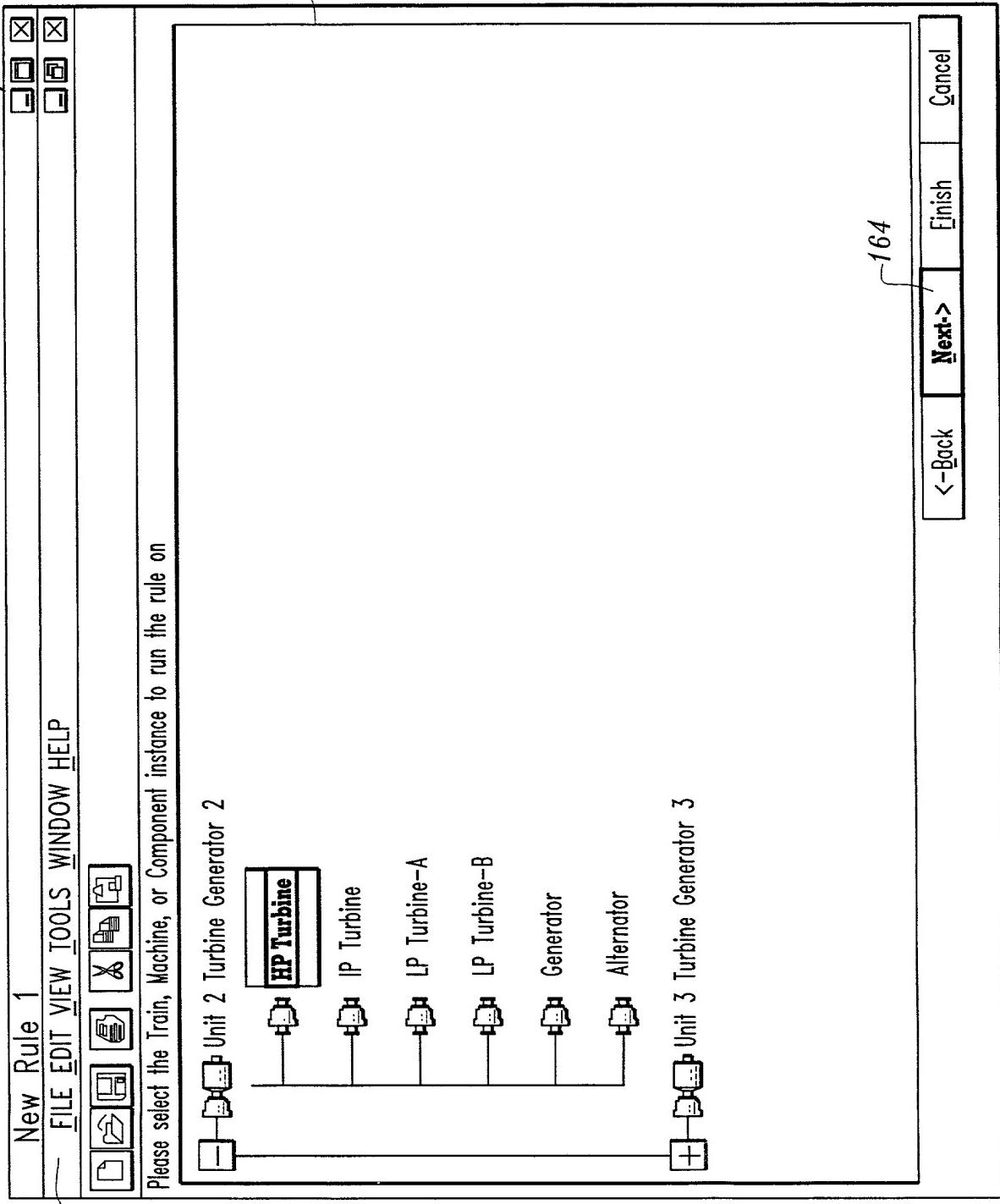


Fig. 6

+

48



+

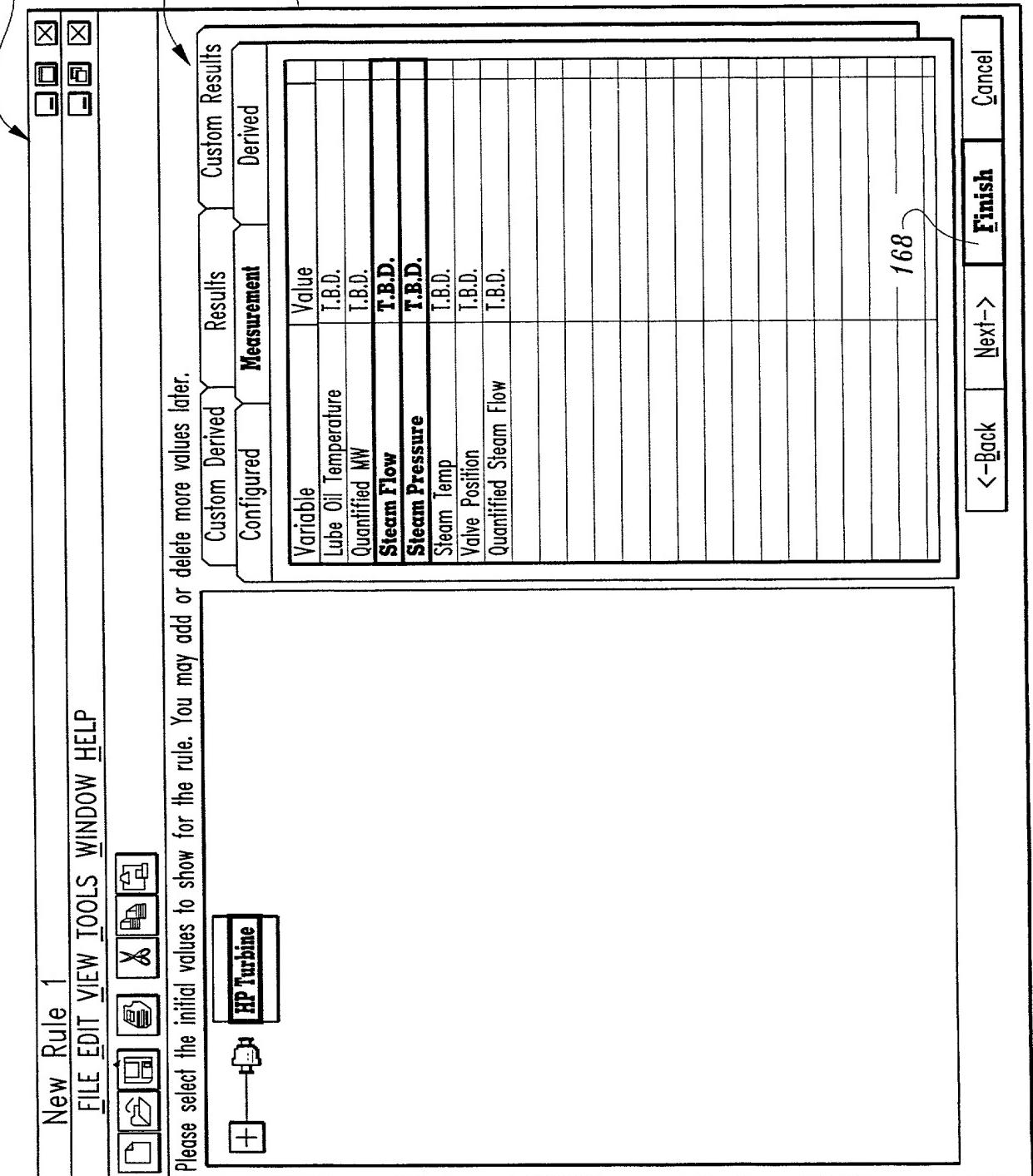


Fig. 8

*Fig. 9*

48

102

The screenshot shows a software interface for defining a new rule. At the top, there are two numerical annotations: '48' pointing to the top-left corner of the window and '102' pointing to the top-right corner of the 'Steps' section.

The main window title is 'New Rule 1'. Below it is a menu bar with FILE, EDIT, VIEW, TOOLS, WINDOW, and HELP. To the right of the menu are several icons: a folder, a file, a magnifying glass, a clipboard, and a trash can.

The central area is titled 'Steps' and contains a grid of operators and functions:

	+	-	$\times$	$\div$	=
$\neq$	<	$\leq$	>	$\geq$	
And	Or	Not	Max	Min	
Abs	$f_x$	$c$			
Temp	• True ○ False	$A+B=C$	$\neg A \neg B \neg C$		

Below the 'Steps' grid, there are four input fields with associated valves:

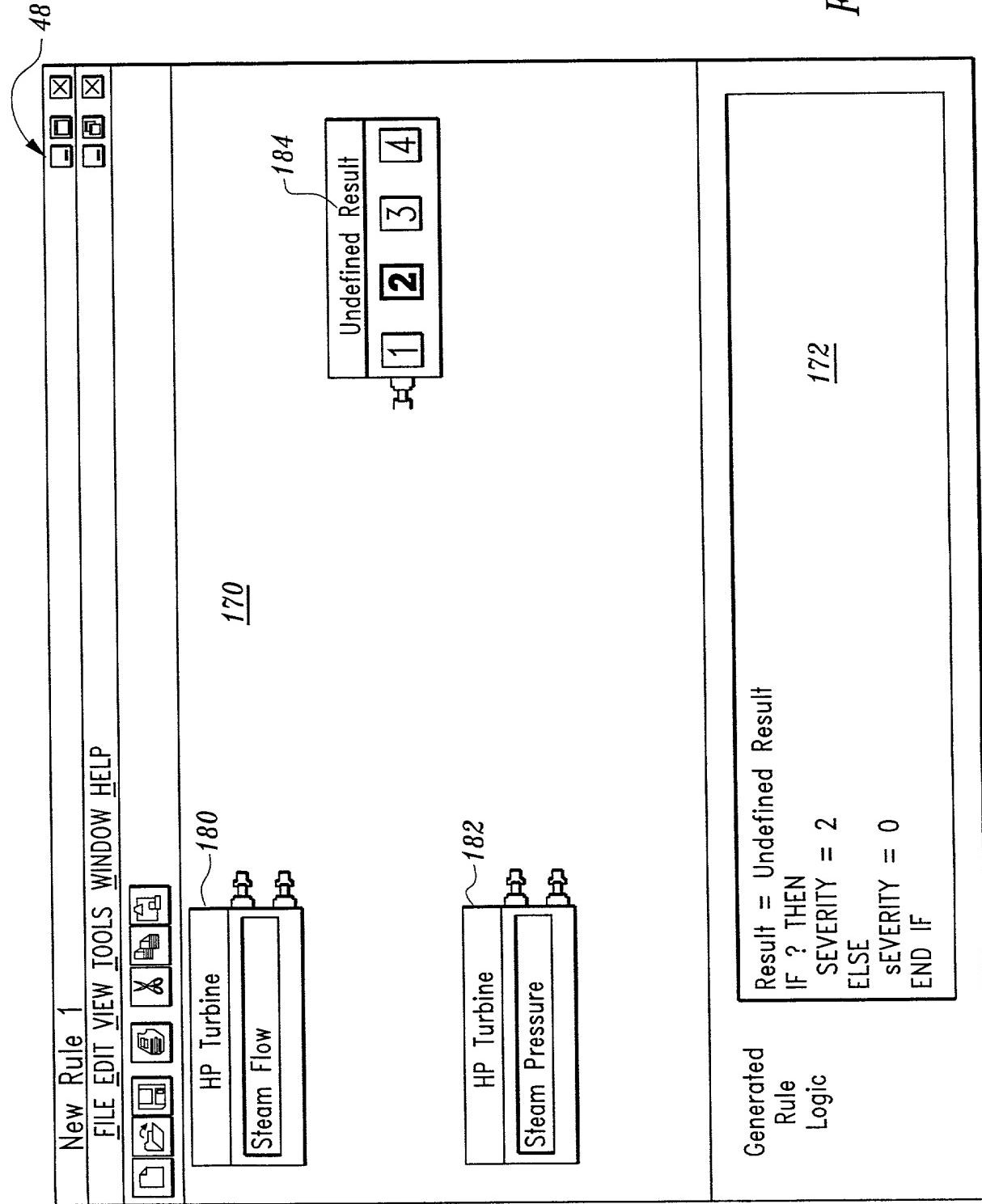
- 'HP Turbine' valve is open, labeled '180'.
- 'Steam Flow' valve is closed, labeled '181'.
- 'HP Turbine' valve is open, labeled '182'.
- 'Steam Pressure' valve is closed, labeled '183'.

To the right of the interface, a large rectangular box contains the generated rule logic:

172

Generated Rule Logic

*Fig. 10*



Properties

X

196

Options

Results

- Custom Result  
 Custom Result

- Choose Result  
Fouled Heat Exchanger  
Governor Valves Unbalance  
Hot End Damage  
Inlet Nozzle Damage  
Pump Impeller Failure  
Pump Suction Failure  
**Steam Problem**  
Steam Seal Damage  
Turbine Efficiency Low  
Turbine Fault

New 230

Edit 232

Delete 234

Ok

Cancel

Apply

Fig. 11

Results

X

198

Name	Recommended Actions	Details
Severity 1	Recommended Actions	
Severity 2	Recommended Actions	
Severity 3	Recommended Actions	
Severity 4	Recommended Actions	

Ok Cancel

Fig. 12

Fig. 13

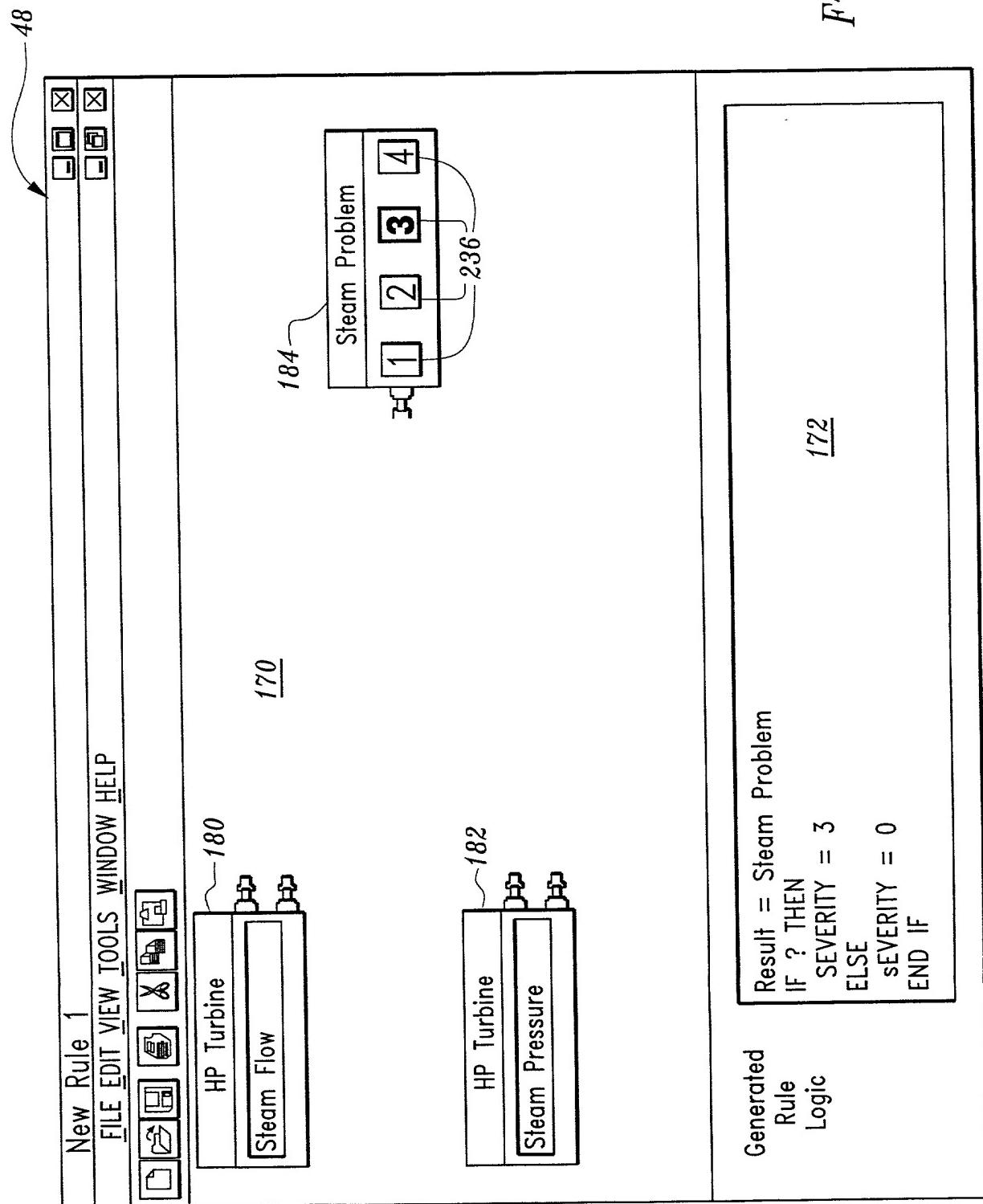
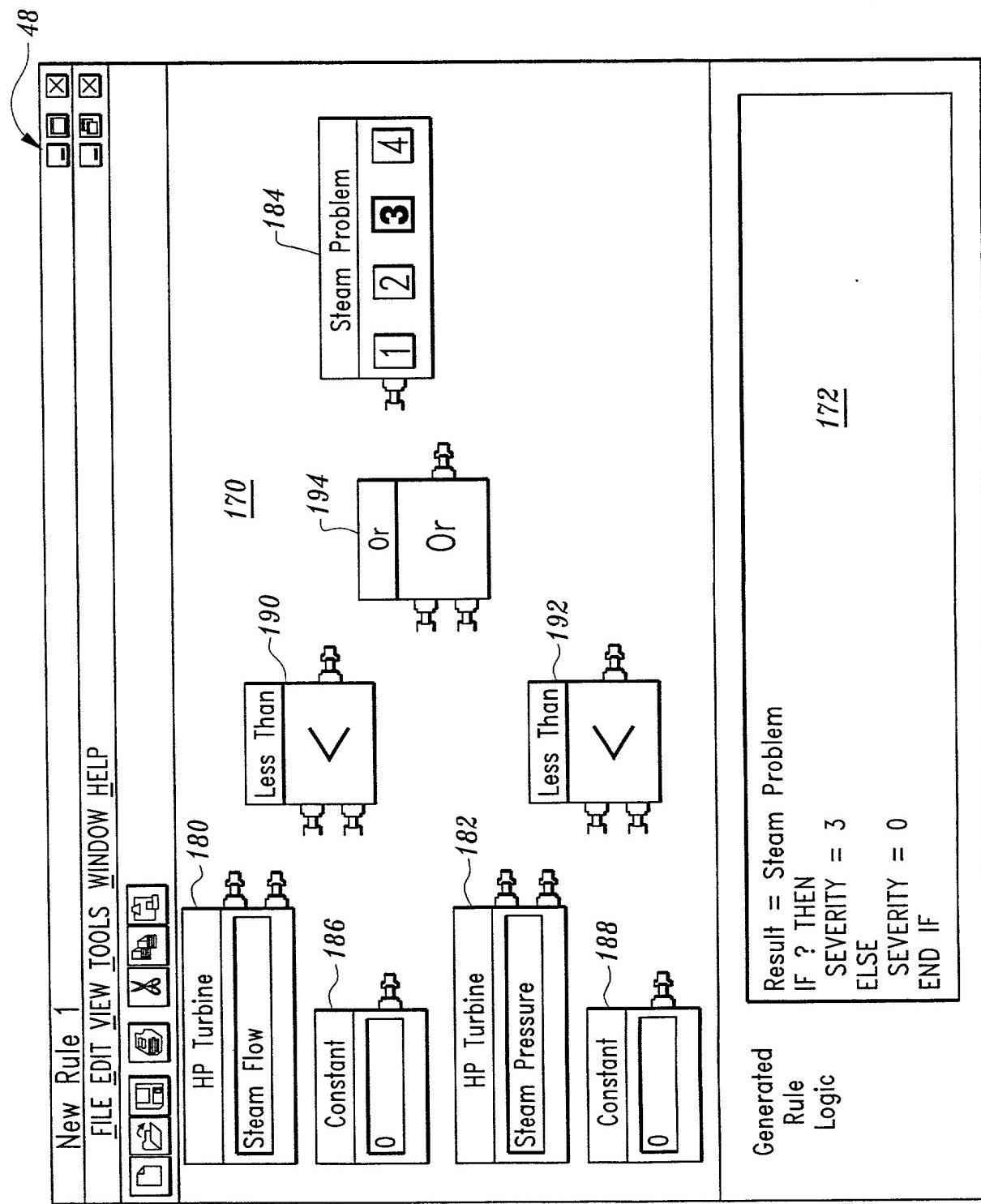


Fig. 14



+

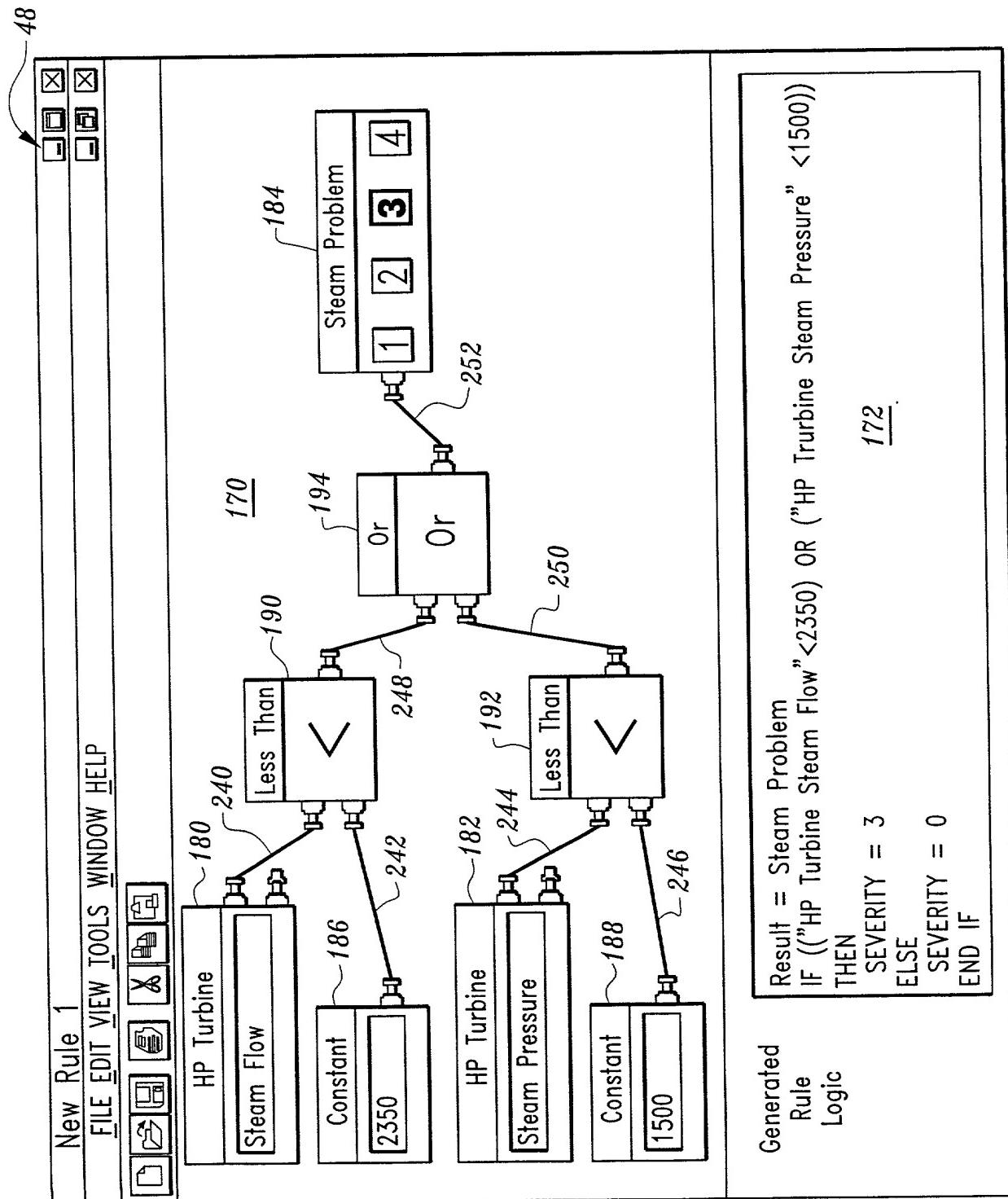


Fig. 15

+

+

Rule Groups

rule_group_id	integer
rule_group_guid	replid
group_priority	integer
is_rule_group_active	boolean
rule_group_text_id	long int
rule_group_text	text(50)
rule_type	integer
is_password_active	boolean
rule_group_password	text(50)

260

Fig. 16

Rules

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
rule_text	text(60)
rule_text_id	long int
is_valid	boolean
is_active	boolean
is_deleted	boolean
rule_priority	long int
rule_group_id	integer
component_key	long int
num_result_steps	integer

262

Fig. 17

Rule Steps

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
step_num	long int
vert_positon_num	long int
horz_position_num	long int
operation_id	integer

264

Fig. 18

Rule Connections

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
connection_num	long int
from_step_num	long int
from_output_num	integer
to_step_num	long int
to_input_num	integer

266

Fig. 19

+

## Rule Step Inputs

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
step_num	long int
input_num	integer
value_type	integer
step_input_enum_id	long int

268

Fig. 20

## Rule Step Outputs

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
step_num	long int
output_num	integer
value_type	integer
b_value	boolean
f_value	float
i_value	long int
e_value	long int
units	byte
sub_units	byte
field_id	long int
component_key	long int
step_output_enum_id	long int
loop_num	integer

270

Fig. 21

## Result Steps

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
step_num	long int
result_type	long int
multiple_severities	bool
create_severity_0_result	bool
auto_status_checking	bool
no_result	bool

272

Fig. 22

Derived Value Steps

rule_id	long int
beg_rule_conf_id	long int
end_rule_conf_id	long int
step_num	long int
derived_value_id	long int

Fig. 23

274

System Options

system_options_key	integer
database_major_version	byte
database_minor_version	byte
database_custom_version	byte

Fig. 24

276

Class Hierarchy

component_type	long int
ancestor_component_type	long int
ancestor_level	byte

Fig. 25

278

English Text

text_id	long int
english_text	text(255)

Fig. 26

280

English Memo Text

text_id	long int
english_memo_text	memo

Fig. 27

282

Properties Text

property_texts_id	integer
pp_text_id	long int
ds_text_id	long int
rack_text_id	long int
mon_text_id	long int
chan_text_id	long int
t_text_id	long int

284

Fig. 28

**DECLARATION FOR UTILITY PATENT APPLICATION (37 CFR 1.63)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Custom Rule System And Method For Expert Systems, the specification of which:

XXX is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No.: \_\_\_\_\_  
and was amended on: \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under Title 35, U.S.C 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
	NO

(Number)	(Country)	(Day/Month/Year)
----------	-----------	------------------

I hereby claim the benefit under Title 35, U.S. Code 120 of any U.S. application(s), or 365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. or PCT application in the manner provided by the first paragraph of Title 35, U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
--------------------------	---------------	---------------------------------------

I hereby appoint Dennis A. DeBoo, Reg. No. 42,471; to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Address all telephone calls to: (916) 449-3983

Address all correspondence to: Dennis A. DeBoo, 400 Capitol Mall, Suite 900, Sacramento, California 95814

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Scott Williams Citizenship: United States

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: N/A

**Full Name of Inventor:** Alan Schachtele **Citizenship** United States

**Inventor's Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Residence:** \_\_\_\_\_

**Post Office Address:** N/A